

## PATENT COOPERATION TREATY

PCT

RECD 21 JAN 2000  
WIPO PCT

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference  Le A 32 545-PC BU	<b>FOR FURTHER ACTION</b>		See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)
International application No.  PCT/EP98/06448	International filing date (day/month/year)  12/10/1998	Priority date (day/month/year)  21/10/1997	
International Patent Classification (IPC) or national classification and IPC  C12N15/24			
<b>Applicant</b> BAYER AKTIENGESELLSCHAFT et al.			
<p>1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of 6 sheets, including this cover sheet.</p> <p><input type="checkbox"/> This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).</p> <p>These annexes consist of a total of sheets.</p>			
<p>3. This report contains indications relating to the following items:</p> <ul style="list-style-type: none"> <li>I    <input checked="" type="checkbox"/> Basis of the report</li> <li>II   <input type="checkbox"/> Priority</li> <li>III   <input checked="" type="checkbox"/> Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</li> <li>IV   <input type="checkbox"/> Lack of unity of invention</li> <li>V   <input checked="" type="checkbox"/> Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</li> <li>VI   <input type="checkbox"/> Certain documents cited</li> <li>VII   <input type="checkbox"/> Certain defects in the international application</li> <li>VIII   <input checked="" type="checkbox"/> Certain observations on the international application</li> </ul>			

Date of submission of the demand  07/05/1999	Date of completion of this report  17.01.00
Name and mailing address of the international preliminary examining authority:   European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465	Authorized officer  Giebel, K  Telephone No. +49 89 2399 8546



**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/EP98/06448

**I. Basis of the report**

1. This report has been drawn on the basis of (*substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.*):

**Description, pages:**

1-22                   as originally filed

**Claims, No.:**

1-8                   as originally filed

2. The amendments have resulted in the cancellation of:

the description,       pages:  
 the claims,              Nos.:  
 the drawings,          sheets:

3.  This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

4. Additional observations, if necessary:

**III. Non-establishment of opinion with regard to novelty, inventive step and industrial applicability**

The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non-obvious), or to be industrially applicable have not been examined in respect of:

the entire international application.  
 claims Nos. 1-8 (all partially).

because:

the said international application, or the said claims Nos. relate to the following subject matter which does not require an international preliminary examination (*specify*):

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/EP98/06448

- the description, claims or drawings (*indicate particular elements below*) or said claims Nos. are so unclear that no meaningful opinion could be formed (*specify*):

**see separate sheet**

- the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinion could be formed.
- no international search report has been established for the said claims Nos. .

**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

**1. Statement**

Novelty (N)	Yes:	Claims
	No:	Claims 1-8
Inventive step (IS)	Yes:	Claims
	No:	Claims 1-8
Industrial applicability (IA)	Yes:	Claims 1-8
	No:	Claims

**2. Citations and explanations**

**see separate sheet**

**VIII. Certain observations on the international application**

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

**see separate sheet**

**Re Item III**

**Non-establishment of opinion with regard to novelty, inventive step and  
industrial applicability**

1. Claims 1-8 as far as they relate to hIL-4 muteins having an **altered specificity** to the  $\gamma$  subunit of the IL-4 receptor and/or HIL-13 R  $\alpha$  subunit of the hIL-4 receptor have not been examined for the following reasons.

Firstly, the expression "altered specificity" is unclear, contrary to Article 6 PCT. The application does neither define this term, nor does it specify tests or procedures suitable to verify whether or not a hIL-4 mutein is encompassed by this part of the claims.

Secondly, the claimed muteins are not sufficiently disclosed, contrary to Article 5 PCT, since the application does not teach how to obtain them.

Consequently, the present assessment had to be limited to those muteins having a **reduced affinity** to the  $\gamma$  subunit of the IL-4 receptor and/or HIL-13 R  $\alpha$  subunit of the hIL-4 receptor

**Re Item V**

**Reasoned statement under Article 35(2) with regard to novelty, inventive step or  
industrial applicability; citations and explanations supporting such statement**

2. The following documents are cited:

- D1: Y. WANG ET AL: 'A mixed-charge pair in human interleukin-4 dominates high-affinity interaction with the receptor alpha chain' PROC. NATL. ACAD. SCIENCE USA, vol. 94, 1997, p. 1657-1662
- D2: D.J. MATTHEWS ET AL: 'X-SCID B cell responses to interleukin-4 and interleukin-13 are mediated by a receptor complex that includes the interleukin-4 receptor alpha chain(p140) but not the gamma c chain' EUROPEAN JOURNAL OF IMMUNOLOGY, vol. 27, 1997, p. 116-121
- D3: KRUSE N ET AL: 'Two distinct functional sites of human Interleukin 4 are identified by variants impaired in either receptor binding or receptor activation' EMBO JOURNAL, vol. 12, no. 13, 1993, pages 5121-5129

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

International application No. PCT/EP98/06448

- D4: A. DUSCHL ET AL: 'Transmembrane and intracellular signalling by interleukin-4 . Receptor dimerization and beyond' EUROPEAN JOURNAL OF CYTOKINE NETWORK, vol. 7, no. 1, 1996, pages 37-49
- D5: A. DUSCHL: 'An antagonistic mutant of interleukin-4 fails to recruit gamma c into the receptor complex' EUROPEAN JOURNAL OF BIOCHEMISTRY, vol. 228, no. 2, 1995, p. 305-310
- D6: F. LETZELTER ET AL : 'The interleukin -4 site-2 epitope determining binding of the common receptor gamma chain.' EUROPEAN JOURNAL OF BIOCHEMISTRY, vol. 257, no. 1, 1 October 1998, p. 11-20
- D7: WO 98 03654 A (BAYER CORPORATION) 29 January 1998
3. The current assessment is based on the assumption that all claims enjoy priority rights from the filing date of the priority document. If it later turns out that this is not correct, the documents D6 and D7 cited in the international search report would become relevant.
4. The present application does not satisfy the criterion set forth in Article 33(1)(2) PCT because the subject-matter of claims 1-8 is not new.

According to Tables 1 and 2 of the present application, mutations in positions 7, 8, 11, 12, 15, 114, 117, 118, 121, 122, 123, 124, and 125 result in reduced affinity of IL-4 to the  $\gamma$  subunit of the IL-4 receptor. However, such mutations have already been described in the prior art.

D1 (see Tab. 1 and 2) discloses the production of the muteins of IL-4 Q8A, Q8R, I11A, K12S, K12E, N15A and N15D in E. coli and is thus prejudicial to the novelty of claims 1-3 and 5-8. It should be noted that it is irrelevant for the question of novelty whether or not the reduced affinity of the claimed compounds has actually been shown in prior art, as long as the claimed compounds as such have been disclosed. D2 discloses the IL-4 mutein Y124D and is prejudicial to the novelty of at least claim 1. D3 also discloses the expression of IL-4 muteins affected at site 2 in E. coli, notably K117Q, R121D, K123D, Y124D and S125D (see Tab. 1). D5 also suggests mutations in positions 121, 124 and 125 of IL-4, including the double mutations [R121D,Y124D] and [R121D,S125D]; the document is thus prejudicial to the novelty of claims 1 and 4-8.

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

International application No. PCT/EP98/06448

It should furthermore be noted that it was generally known at the priority date of the present application that helices A and D of IL-4 are involved in  $\gamma_c$  binding, see for instance D4, particularly Figure 4.

**Re Item VIII**

**Certain observations on the international application**

5. Claims 1 and 2 do not meet the requirements of Article 6 PCT in that the matter for which protection is sought is not clearly defined. The functional statement "having reduced activity ..." does not enable the skilled person to determine which technical features are necessary to perform the stated function. Also, said claims attempt to define the subject-matter in terms of the result to be achieved which merely amounts to a statement of the underlying problem.
6. Claims 1 and 2 are furthermore not considered to be sufficiently disclosed in the sense of Article 5 PCT. The application (especially Tables 1 and 2) discloses certain muteins with a reduced affinity to the  $\gamma$  subunit of the IL-4 receptor. It would require inventive skill to identify further muteins not disclosed in the application. Therefore, claims 1 and 2 extend to muteins other than those actually enabled by the application.

## PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference  Le A 32 545-PC BU	<b>FOR FURTHER ACTION</b> see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No.  PCT/EP 98/06448	International filing date (day/month/year)  12/10/1998	(Earliest) Priority Date (day/month/year)  21/10/1997
Applicant  BAYER AKTIENGESELLSCHAFT et al.		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 4 sheets.

It is also accompanied by a copy of each prior art document cited in this report.

1.  Certain claims were found unsearchable (see Box I).
2.  Unity of invention is lacking (see Box II).
3.  The international application contains disclosure of a **nucleotide and/or amino acid sequence listing** and the international search was carried out on the basis of the sequence listing
  - filed with the international application.
  - furnished by the applicant separately from the international application,
    - but not accompanied by a statement to the effect that it did not include matter going beyond the disclosure in the international application as filed.
  - Transcribed by this Authority
4. With regard to the title,  the text is approved as submitted by the applicant
  - the text has been established by this Authority to read as follows:
5. With regard to the abstract,
  - the text is approved as submitted by the applicant
  - the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this International Search Report, submit comments to this Authority.
6. The figure of the drawings to be published with the abstract is:
 

Figure No. \_\_\_\_\_

  - as suggested by the applicant.
  - because the applicant failed to suggest a figure.
  - because this figure better characterizes the invention.

## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/EP 98/06448

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 C12N15/24

C12N1/21

C07K14/54

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C07K C12N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>Y. WANG ET AL: "A mixed-charge pair in human interleukin-4 dominates high-affinity interaction with the receptor alpha chain"          PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF USA., vol. 94, March 1997, pages 1657-1662,          XP002061699          WASHINGTON US          cited in the application          see page 1658; table 1          see page 1661; table 2          ---          -/-</p>	1-3,5-8

 Further documents are listed in the continuation of box C. Patent family members are listed in annex.

° Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

11 February 1999

Date of mailing of the international search report

24/02/1999

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
 NL - 2280 HV Rijswijk  
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
 Fax: (+31-70) 340-3016

Authorized officer

Le Corne, N

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 98/06448

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	D.J. MATTHEWS ET AL: "X-SCID B cell responses to interleukin-4 and interleukin-13 are mediated by a receptor complex that includes the interleukin-4 receptor alpha chain(p140) but not the gamma c chain" EUROPEAN JOURNAL OF IMMUNOLOGY, vol. 27, January 1997, pages 116-121, XP002061700 see abstract see page 120, left-hand column ---	1
X	KRUSE N ET AL: "TWO DISTINCT FUNCTIONAL SITES OF HUMAN INTERLEUKIN 4 ARE IDENTIFIED BY VARIANTS IMPAIRED IN EITHER RECEPTOR BINDING OR RECEPTOR ACTIVATION" EMBO JOURNAL, vol. 12, no. 13, 15 December 1993, pages 5121-5129, XP000565734 cited in the application see page 5123, right-hand column see page 5125 ---	1,2
X	L. RAMANATHAN ET AL: "Immunochemical mapping of domains in human interleukin-4 recognized by neutralizing monoclonal antibodies" BIOCHEMISTRY., vol. 32, 1993, pages 3549-3556, XP002061701 EASTON, PA US see page 3554; table IV ---	1,5-8
A	A. DUSCHL ET AL: "Transmembrane and intracellular signalling by interleukin-4 . Receptor dimerization and beyond" EUROPEAN JOURNAL OF CYTOKINE NETWORK, vol. 7, no. 1, January 1996 - March 1996, pages 37-49, XP002061702 cited in the application ---	
A	A. DUCSHL: "An antagonistic mutant of interleukin-4 fails to recruit gamma c into the receptor complex" EUROPEAN JOURNAL OF BIOCHEMISTRY, vol. 228, no. 2, March 1995, pages 305-310, XP002061703 cited in the application see the whole document ---	1-8
		-/-

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 98/06448

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P, X	F. LETZELTER ET AL : "The interleukin -4 site-2 epitope determining binding of the common receptor gamma chain." EUROPEAN JOURNAL OF BIOCHEMISTRY, vol. 257, no. 1, 1 October 1998, pages 11-20, XP002093104 see the whole document ---	1-8
P, X	WO 98 03654 A (BAYER CORPORATION) 29 January 1998 see the whole document see page 29; examples 5,6; table I -----	1-8

**INTERNATIONAL SEARCH REPORT**

Information on patent family members

International Application No

PCT/EP 98/06448

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9803654	A 29-01-1998	AU 3654597 A	10-02-1998



(A)

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> :		(11) International Publication Number:	WO 98/03654
C12N 15/24, C07K 14/54, 14/715, A61K 38/20, G01N 33/534		A3	(43) International Publication Date: 29 January 1998 (29.01.98)
(21) International Application Number: PCT/US97/11909		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).	
(22) International Filing Date: 9 July 1997 (09.07.97)			
(30) Priority Data: 08/687,803 19 July 1996 (19.07.96) US			
(71) Applicant: BAYER CORPORATION [US/US]; One Mellon Center, 500 Grant Street, Pittsburgh, PA 15219-2507 (US).		Published <i>With international search report.</i>	
(72) Inventors: GREVE, Jeffrey; 18 Prospect Court, Woodbridge, CT 06525 (US). SHANAFELT, Armen, B.; 25 Benham Hill Place, Hamden, CT 06514 (US). ROCZNIAK, Steven; 46 Maler Avenue, Shelton, CT 06484 (US).		(88) Date of publication of the international search report: 14 May 1998 (14.05.98)	
(74) Agents: GREENMAN, Jeffrey, M. et al.; Bayer Corporation, 400 Morgan Lane, West Haven, CT 06516-4175 (US).			
<p><b>(54) Title:</b> HIGH-AFFINITY INTERLEUKIN-4 MUTEINS</p> <p><b>(57) Abstract</b></p> <p>A recombinant human IL-4 mutein numbered in accordance with wild-type IL-4 wherein the mutein comprises at least one amino acid substitution in the binding surface of either the A- or C-alpha helices of the wild-type IL-4 whereby the mutein binds to the IL-4R<math>\alpha</math> receptor with at least greater affinity than native IL-4. The substitution is more preferably selected from the group of positions consisting of, in the A-helix, positions 13 and 16, and in the C-helix, positions 81 and 89. A most preferred embodiment is the recombinant human IL-4 mutein wherein the substitution at position 13 is Thr to Asp. Pharmaceutical compositions, amino acid and polynucleotide sequences encoding the muteins, transformed host cells, antibodies to the muteins, and methods of treatment are also described.</p>			
<p style="text-align: center;"><b>IL-4</b></p> <ul style="list-style-type: none"> <li>• IL-4R<math>\alpha</math> (high affinity): A-, C- helices</li> <li>• IL-2R<math>\gamma</math> (signaling): D-helix</li> </ul> <p style="text-align: center;">ACTIVATION</p>			

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

## High-affinity Interleukin-4 Muteins

### Background

#### 1. Field of the Invention

The invention is generally related to the fields of pharmacology and 5 immunology. More specifically, the invention is directed to novel variants of the cytokine family, and in particular human Interleukin 4 (IL-4).

#### 2. Description of Related Art

Interleukin-4 is a 15 kDa glycoprotein secreted by activated T cells, 10 (*Howard et al., J. Exp. Med.* 155:914 (1982)), mast cells (*Brown et al., Cell* 50:809(1987)) and basophils (*Seder et al., Proc. Natl. Acad. Sci. USA* 88:2835(1991)) which regulates a wide spectrum of cellular functions in hematopoietic and nonhematopoietic cells. The sequence of IL-4 is disclosed in U.S. Patent No. 5,017,691. Interleukin 4 (IL-4) is a pleiotropic cytokine, having activities on cells 15 of the immune system, endothelium, and those of fibroblastic nature. Reported *in vitro* effects of IL-4 administration include proliferation of T and B cells, immunoglobulin class switching in B cells, stimulation of production of cellular surface adhesion molecules in endothelial cells, and stimulation of IL-6 release. In T cells, IL-4 stimulates T cell proliferation after preactivation with mitogens 20 and down regulates IFN- $\gamma$  production. In monocytes, IL-4 induces class II MHC molecule expression, release of lipopolysaccharide-induced tPA, and CD23 expression. In Endothelial cells (EC), IL-4 induces expression of VCAM-1 and IL-6 release. IL-4 decreases ICAM-1 expression. *Maher, DW, et al., Human Interleukin-4: An Immunomodulator with Potential Therapeutic Applications,* 25 *Progress in Growth Factor Research*, 3:43-56 (1991).

Because of its ability to stimulate proliferation of T cells activated by exposure to IL-2, IL-4 therapy has been pursued. For instance, IL-4 has demonstrated anti-neoplastic activity in animal models of renal carcinomas, and has induced tumor regression in mice (*Bosco, M., et al., Low Doses of IL-4 Injected* 30 *Perilymphatically in Tumor-bearing Mice Inhibit the Growth of Poorly and Apparently Nonimmunogenic Tumors and Induce a Tumor Specific Immune*

Memory, J. Immunol. 145:3136-43 (1990)). However, its toxicity limits the dosage in humans (*Margolin, K., et al. Phase II Studies of Human Recombinant Interleukin-4 in Advanced Renal Cancer and Malignant Melanoma, J. Immunotherapy* 15:147-153 (1994).

5       The general structure and function of IL-4 and related monomeric ligands containing 4 antiparallel  $\alpha$ -helical domains (A-D) is now known. The three-dimensional structure of IL-4 has been solved (*Powers et al., Science* 256:1673) (1992). The protein contains 4 left hand  $\alpha$ -helices and two b-sheets.

The IL-4 receptor consists of at least two chains. The first IL-4R chain, IL-  
10 4Ra, shares significant homology to the b chain of the IL-2R and other members  
of the growth factor receptor superfamily (*Ldzerda et al. J. Exp. Med.*  
171:861(1990)). A second IL-4R chain has been identified, the chain of the IL-2R,  
also known as the 'common chain',  $\gamma_c$  (*Russell et al., Science* 262:1877(1993)). The  
two binding sites are likely involved in a sequential, two-binding event that  
15 results in a ternary 1:1:1 complex. The region of IL-4 likely responsible for  
binding with IL-4Ra is thought to be located on either or both of helices A and C,  
while the region interactive with  $\gamma_c$  is thought to be located on helix D. Present  
theory holds that the first binding event involves the ligand contacting IL-4Ra,  
the primary binding component. No cellular signaling activity is associated with  
20 this event. The second binding event occurs when the IL-4/IL4Ra complex  
recruits a second chain,  $\gamma_c$ . After this second binding event, signaling occurs and  
cellular activity is established. Antagonism of wild-type IL-4 is found when  
binding interactions mediated by the second region (necessary for cellular  
activity) are diminished or eliminated, while retaining binding to IL-4Ra.  
25 Agonism occurs when a candidate ligand constructively interacts, via the first  
and second regions, to both receptor components.

Antagonists of IL-4 have been reported in the literature. Mutants of IL-4  
that function as antagonists include the IL-4 antagonist mutein IL-4/Y124D  
(*Kruse, N., Tony, H.P., Sebald, W., Conversion of human interleukin-4 into a high*  
30 *affinity antagonist by a single amino acid replacement, Embo J.* 11:3237-44, 1992)

and a double mutein IL-4[R121D/Y124D] (Tony, H., et al., Design of Human Interleukin-4 Antagonists in Inhibiting Interleukin-4-dependent and Interleukin-13-dependent responses in T-cells and B-cells with high efficiency, Eur. J. Biochem. 225:659-664 (1994)). The single mutein is a substitution of tyrosine by aspartic acid at position 124 in the D-helix. The double mutein is a substitution of Arginine by Aspartic Acid at position 121, and of tyrosine by aspartic acid at position 124 in the D-helix. Variations in this section of the D helix positively correlate with changes in interactions at the second binding region.

Mutant variants of IL-4 demonstrating agonism or antagonism of wild-type IL-4 may be useful for treating conditions associated with one of the pleiotropic effects of IL-4. For instance, antagonists of IL-4 would be useful in treating conditions exacerbated by IL-4 production such as asthma, allergy, or other inflammatory response-related conditions. Agonists of IL-4 may be useful for treating conditions wherein the presence of IL-4 is associated with the amelioration or attenuation of a disease, for example, an autoimmune disease such as Rheumatoid Arthritis, Multiple Sclerosis, Insulin-dependent Diabetes Mellitus, etc. These autoimmune diseases are characterized by a polarization in production of the T helper cell populations, types 1 and 2 (Th1, Th2). Naive CD4+ T cells differentiate into Th1 or Th2 subsets, depending on the cytokine present during stimulation. An IL-4 agonist would ideally shift production to the T-helper cell desired, i.e., towards Th2, thereby having a therapeutic effect.

PCT/US93/03613 discloses an IL-4 variant having a Phe-Leu or Tyr-Leu sequence in a alpha-helical domain and a negatively-charged amino acid within two amino acids immediately upstream or downstream from the Phe-Leu or Tyr-Leu sequence, the variant having an increased affinity for the IL-4 receptor by virtue of a neutral amino acid substituted for the negatively-charged amino acid. It also discloses that the specific substitution of Trp-Leu or Phe-Leu within an a-helix of IL-4 within 2-residues of a negatively charged residue results in improved affinity. The variant is an IL-4 fusion protein (with diphtheria toxin).

### Summary of the Invention

The invention is directed to a recombinant human IL-4 mutein numbered in accordance with wild-type IL-4 wherein the mutein comprises at least one amino acid substitution in the binding surface of either the A- or C- alpha helices of the wild-type IL-4 whereby the mutein binds to the IL-4R $\alpha$  receptor with at least greater affinity than native IL-4. The substitution is preferably selected from the group of positions consisting of, in the A-helix, positions 13 and 16, and in the C-helix, positions 81 and 89. A most preferred embodiment is the recombinant human IL-4 mutein wherein the substitution at position 13 is Thr to Asp. Pharmaceutical compositions, amino acid and polynucleotide sequences encoding the muteins, transformed host cells, antibodies to the muteins, and methods of treatment are also described.

The invention is also directed to an assay for determining the ability of a mutein to bind to a receptor, comprising the steps of: first introducing into a FlashPlate™ coated with streptavidin the binding portion of a receptor chain, the binding portion of a receptor chain having a peptide tag capable of being bound by streptavidin; secondly introducing into the FlashPlate a radiolabelled native ligand having an affinity for the binding portion of a receptor chain; thirdly introducing into the FlashPlate a mutein ligand having an affinity for the binding portion of a receptor chain; the measuring of the amount of signal given off by the FlashPlate after allowing for equilibration; and lastly calculating the relative affinity of the mutein ligand versus the native ligand. In a preferred mode, the method uses the receptor chain IL-4R $\alpha$ .

The invention is also directed to a recombinant human IL-4 antagonist mutein numbered in accordance with wild-type IL-4 wherein the mutein comprises: (a) substitutions R121D and Y124D in the D-helix of the wild-type IL-4; and (b) at least one amino acid substitution in the binding surface of either the A- or C- alpha helices of the wild-type IL-4 whereby the mutein binds to the IL-4R $\alpha$  receptor with at least greater affinity than native IL-4. The substitution is

preferably selected from the group of positions consisting of, in the A-helix, positions 13 and 16, and in the C-helix, positions 81 and 89. A most preferred embodiment is the recombinant human IL-4 antagonist mutein wherein the substitution at position 13 is Thr to Asp. Pharmaceutical compositions, amino acid and polynucleotide sequences encoding the muteins, transformed host cells, antibodies to the muteins, and methods of treatment are also described.

#### Brief Description of the Figures

- Figure 1 is a schematic view of the ligand/receptor architecture for IL-4.
- 10 IL-4 is a four-helix bundle protein, and is shown here in an 'end on' view of the four helices (A, B, C, and D, from the N- to the C-terminus, respectively). The primary binding component of the IL-4 receptor is IL-4R $\alpha$ , which interacts with IL-4 ligand via the A- and C-helices of IL-4. Formation of the ternary complex IL-4/IL-4R $\alpha$ /IL-4R $\gamma$  induces signaling in the target cell.
- 15 Figure 2 is an X-Y plot of the competitive binding of T13D-IL-4[R121D/Y124D]. The ability of T13D-IL-4[R121D/Y124D], •, to compete  $^{125}$ I-IL-4 in a solid phase binding assay is shown relative to that of IL-4[R121D/Y124D], °. The  $K_d$  determined using this assay for T13D-IL-4[R121D/Y124D] was 0.28 nM, and for IL-4[R121D/Y124D] 5.0 nM.
- 20 Figure 3 is a similar X-Y plot depicting antagonism of IL-4 by T13D-IL-4[R121D/Y124D], °. The ability of T13D-IL-4[R121D/Y124D] to compete IL-4-induced proliferation of PHA- blasts is shown relative to IL-4[R121D/Y124D], •. The IC<sub>50</sub> determined from this assay for T13D-IL-4[R121D/Y124D] was 2 nM, and for IL-4[R121D/Y124D], 13 nM.
- 25 Figure 4 is the amino acid sequence listing of sIL-4R $\alpha$ -STX.
- Figure 5 is a composite sequence listing of the T13D-IL-4 mutein.
- Figure 6 is a composite sequence listing of the T13D-IL-4[R121D/Y124D] mutein.

### Description of the Preferred Embodiments

Described herein are novel muteins and a mechanism for deriving novel IL-4 muteins having higher affinities for wt IL-4 receptor.

5 As used herein, "wild type IL-4" or "wtIL-4" means human Interleukin-4, whether native or recombinant, having the 129 normally occurring amino acid sequence of native human IL-4, as disclosed in U.S. Patent No. 5,017,691, incorporated herein by reference.

10 As used herein, "IL-4 mutein" means a polypeptide wherein specific amino acid substitutions to the human mature interleukin-4 protein have been made, specifically to either the A or C-helices, and more preferably to those amino acids comprising their binding surfaces. The binding surface of the A helix is generally found to be from about amino acid position 5 to about 16; and in helix C, from about position 77 to about 89. These changes to either helix  
15 increase the affinity of the resulting mutein for IL-4Ra, which mutein may be either an agonist or an antagonist of wt IL-4, depending upon the final nature of additional substitutions to the molecule.

20 As used herein, "IL-4 antagonist mutein" means a polypeptide wherein specific amino acid substitutions to the human mature interleukin-4 protein have been made. Specifically, the antagonist muteins presented herein contain at least three separate substitutions. The "IL-4[R121D/Y124D]" pair of substitutions are present in all antagonist muteins contained herein, and refer to a backbone pair  
25 of substitutions in the D-helix, R121D (Arg to Asp) and Y124D (Tyr to Asp). In addition, a third substitution has been introduced into the binding surfaces of either the A- or C-helix at a position that increases the binding affinity of the mutein to the receptor alpha chain. Aside from these changes, the most preferred IL-4 antagonist muteins have an amino acid sequence identical to wild type IL-4 at the other, non-substituted residues.

30 The IL-4 muteins of this invention may also be characterized by amino acid insertions, deletions, substitutions and modifications at one or more sites in

or at the other residues of the native IL-4 polypeptide chain. In accordance with this invention any such insertions, deletions, substitutions and modifications should result in an IL-4 mutein that retains its IL-4-related activity.

We prefer conservative modifications and substitutions at other positions of IL-4 (i.e., those that have a minimal effect on the secondary or tertiary structure of the mutein). Such conservative substitutions include those described by Dayhoff in The Atlas of Protein Sequence and Structure 5 (1978), and by Argos in EMBO J., 8:779-785 (1989). For example, amino acids belonging to one of the following groups represent conservative changes:

- 10 - ala, pro, gly, gln, asn, ser, thr;
- cys, ser, tyr, thr;
- val, ile, leu, met, ala, phe;
- lys, arg, his;
- phe, tyr, trp, his; and
- 15 - asp, glu, tyr.

We also prefer modifications or substitutions that eliminate sites for intermolecular crosslinking or incorrect disulfide bond formation. For example, IL-4 is known to have six cys residues, at wild-type positions 3, 24, 46, 65, 99 and 127, one or more of which may be involved in cross-linking interactions.

20 Substitutions should be selected so as to preserve the tertiary structure of the wild-type protein, as far as possible.

By "numbered in accordance with wild type IL-4" we mean identifying a chosen amino acid with reference to the position at which that amino acid normally occurs in wild type IL-4. Where insertions or deletions are made to the 25 IL-4 antagonist mutein, one of skill in the art will appreciate that, for example, the Ser (S) normally occurring at position 125, when numbered in accordance with wild type IL-4, may be shifted in position in the mutein. However, the location of the shifted Ser (S) can be readily determined by inspection and correlation of the flanking amino acids with those flanking Ser in wild type IL-4.

The IL-4 muteins of the present invention can be produced by any suitable method known in the art. Such methods include constructing a DNA sequence encoding the IL-4 muteins of this invention and expressing those sequences in a suitably transformed host. This method will produce recombinant muteins of 5 this invention. However, the muteins of this invention may also be produced, albeit less preferably, by chemical synthesis or a combination of chemical synthesis and recombinant DNA technology.

In one embodiment of a recombinant method for producing a mutein of this invention, a DNA sequence is constructed by isolating or synthesizing a 10 DNA sequence encoding the wild type IL-4 and then changing the codon for threonine-13 to a codon for aspartic acid by site-specific mutagenesis. This technique is well known. See, e.g., Mark *et al.*, Site-specific Mutagenesis Of The Human Fibroblast Interferon Gene, Proc. Natl. Acad. Sci. USA 81: 5662-66 (1984); United States Patent No. 4,588,585, incorporated herein by reference.

15 Another method of constructing a DNA sequence encoding the IL-4 muteins of this invention would be chemical synthesis. For example, a gene which encodes the desired IL-4 mutein may be synthesized by chemical means using an oligonucleotide synthesizer. Such oligonucleotides are designed based on the amino acid sequence of the desired IL-4 mutein, and preferably selecting 20 those codons that are favored in the host cell in which the recombinant mutein will be produced. In this regard, it is well recognized that the genetic code is degenerate – that an amino acid may be coded for by more than one codon. For example, Phe (F) is coded for by two codons, TTC or TTT, Tyr (Y) is coded for by TAC or TAT and his (H) is coded for by CAC or CAT. Trp (W) is coded for by a 25 single codon, TGG. Accordingly, it will be appreciated that for a given DNA sequence encoding a particular IL-4 mutein, there will be many degenerate DNA sequences that will code for that IL-4 mutein. For example, it will be appreciated that in addition to the preferred DNA sequence for mutein T13D-IL-4[R121D/Y124D] shown in SEQ ID NO: 9, there will be many degenerate 30 DNA sequences that code for the IL-4 mutein shown. These degenerate DNA

sequences are considered within the scope of this invention. Therefore, "degenerate variants thereof" in the context of this invention means all DNA sequences that code for a particular mutein.

The DNA sequence encoding the IL-4 mutein of this invention, whether prepared by site-directed mutagenesis, chemical synthesis or other methods, may or may not also include DNA sequences that encode a signal sequence. Such signal sequence, if present, should be one recognized by the cell chosen for expression of the IL-4 mutein. It may be prokaryotic, eukaryotic or a combination of the two. It may also be the signal sequence of native IL-4. The inclusion of a signal sequence depends on whether it is desired to secrete the IL-4 mutein from the recombinant cells in which it is made. If the chosen cells are prokaryotic, it generally is preferred that the DNA sequence not encode a signal sequence but include an N-terminal methionine to direct expression. If the chosen cells are eukaryotic, it generally is preferred that a signal sequence be encoded and most preferably that the wild-type IL-4 signal sequence be used.

Standard methods may be applied to synthesize a gene encoding an IL-4 mutein according to this invention. For example, the complete amino acid sequence may be used to construct a back-translated gene. A DNA oligomer containing a nucleotide sequence coding for IL-4 mutein may be synthesized. For example, several small oligonucleotides coding for portions of the desired polypeptide may be synthesized and then ligated. The individual oligonucleotides typically contain 5' or 3' overhangs for complementary assembly.

Once assembled (by synthesis, site-directed mutagenesis or another method), the DNA sequences encoding an IL-4 mutein of this invention will be inserted into an expression vector and operatively linked to an expression control sequence appropriate for expression of the IL-4 mutein in the desired transformed host. Proper assembly may be confirmed by nucleotide sequencing, restriction mapping, and expression of a biologically active polypeptide in a suitable host. As is well known in the art, in order to obtain high expression

levels of a transfected gene in a host, the gene must be operatively linked to transcriptional and translational expression control sequences that are functional in the chosen expression host.

The choice of expression control sequence and expression vector will  
5 depend upon the choice of host. A wide variety of expression host/vector combinations may be employed. Useful expression vectors for eukaryotic hosts, include, for example, vectors comprising expression control sequences from SV40, bovine papilloma virus, adenovirus and cytomegalovirus. Useful expression vectors for bacterial hosts include known bacterial plasmids, such as  
10 plasmids from *E.coli*, including col El, pCRI, pER32z, pMB9 and their derivatives, wider host range plasmids, such as RP4, phage DNAs, e.g., the numerous derivatives of phage lambda, e.g., NM989, and other DNA phages, such as M13 and filamentous single stranded DNA phages. Useful expression vectors for yeast cells include the 2m plasmid and derivatives thereof. Useful vectors for  
15 insect cells include pVL 941. We prefer pFastBac' 1 (GibcoBRL, Gaithersburg, MD). *Cate et al.*, Isolation Of The Bovine And Human Genes For Mullerian Inhibiting Substance And Expression Of The Human Gene In Animal Cells, Cell, 45: 685-98 (1986).

In addition, any of a wide variety of expression control sequences may be  
20 used in these vectors. Such useful expression control sequences include the expression control sequences associated with structural genes of the foregoing expression vectors. Examples of useful expression control sequences include, for example, the early and late promoters of SV40 or adenovirus, the lac system, the trp system, the TAC or TRC system, the major operator and promoter regions of  
25 phage lambda, for example PL, the control regions of fd coat protein, the promoter for 3-phosphoglycerate kinase or other glycolytic enzymes, the promoters of acid phosphatase, e.g., PhoA, the promoters of the yeast a-mating system, the polyhedron promotor of Baculovirus, and other sequences known to control the expression of genes of prokaryotic or eukaryotic cells or their viruses,  
30 and various combinations thereof.

Any suitable host may be used to produce the IL-4 muteins of this invention, including bacteria, fungi (including yeasts), plant, insect, mammal, or other appropriate animal cells or cell lines, as well as transgenic animals or plants. More particularly, these hosts may include well known eukaryotic and 5 prokaryotic hosts, such as strains of *E.coli*, *Pseudomonas*, *Bacillus*, *Streptomyces*, fungi, yeast, insect cells such as *Spodoptera frugiperda* (SF9), animal cells such as Chinese hamster ovary (CHO) and mouse cells such as NS/O, African green monkey cells such as COS 1, COS 7, BSC 1, BSC 40, and BNT 10, and human cells, as well as plant cells in tissue culture. For animal cell expression, we prefer CHO 10 cells and COS 7 cells in cultures and particularly the CHO cell line CHO (DHFR-).  
15

It should of course be understood that not all vectors and expression control sequences will function equally well to express the DNA sequences described herein. Neither will all hosts function equally well with the same 15 expression system. However, one of skill in the art may make a selection among these vectors, expression control sequences and hosts without undue experimentation. For example, in selecting a vector, the host must be considered because the vector must replicate in it. The vector's copy number, the ability to control that copy number, and the expression of any other proteins encoded by 20 the vector, such as antibiotic markers, should also be considered. For example, preferred vectors for use in this invention include those that allow the DNA encoding the IL-4 muteins to be amplified in copy number. Such amplifiable vectors are well known in the art. They include, for example, vectors able to be amplified by DHFR amplification (see, e.g., Kaufman, United States Patent 25 4,470,461, *Kaufman and Sharp, Construction Of A Modular Dihydrafolate Reductase cDNA Gene: Analysis Of Signals Utilized For Efficient Expression, Mol. Cell. Biol.*, 2:1304-19 (1982)) or glutamine synthetase ("GS") amplification (see, e.g., United States patent 5,122,464 and European published application EPO338841).

In selecting an expression control sequence, a variety of factors should also be considered. These include, for example, the relative strength of the sequence, its controllability, and its compatibility with the actual DNA sequence encoding the IL-4 mutein of this invention, particularly as regards potential secondary structures. Hosts should be selected by consideration of their compatibility with the chosen vector, the toxicity of the product coded for by the DNA sequences of this invention, their secretion characteristics, their ability to fold the polypeptides correctly, their fermentation or culture requirements, and the ease of purification of the products coded for by the DNA sequences.

Within these parameters, one of skill in the art may select various vector/expression control sequence/host combinations that will express the desired DNA sequences on fermentation or in large scale animal culture, for example, using CHO cells or COS 7 cells.

The IL-4 muteins obtained according to the present invention may be glycosylated or unglycosylated depending on the host organism used to produce the mutein. If bacteria are chosen as the host then the IL-4 mutein produced will be unglycosylated. Eukaryotic cells, on the other hand, will glycosylate the IL-4 muteins, although perhaps not in the same way as native IL-4 is glycosylated.

The IL-4 mutein produced by the transformed host can be purified according to any suitable method. Various methods are known for purifying IL-4. See, e.g., United States patents 5,013,824 and 5,017,691; and WO9604306-A2. We prefer immunoaffinity purification. See, e.g., Okamura *et al.*, Human Fibroblastoid Interferon: Immunosorbent Column Chromatography And N-Terminal Amino Acid Sequence, *Biochem.*, 19:3831-35 (1980).

The biological activity of the IL-4 muteins of this invention can be assayed by any suitable method known in the art. Such assays include antibody neutralization of antiviral activity, induction of protein kinase, oligoadenylate 2,5-A synthetase or phosphodiesterase activities, as described in EP-B1-41313. Such assays also include immunomodulatory assays (see, e.g., United States patent 4,753,795), growth inhibition assays, T cell proliferation, induction of IL-6,

and induction of MCP-1 in endothelial cells and measurement of binding to cells that express interleukin-4 receptors. See also Spits H, Yssel H, Takebe Y, et al., Recombinant Interleukin-4 Promotes the Growth of Human T Cells, J. Immunol. 139:1142-47 (1987).

5       The IL-4 mutein of this invention will be administered at a dose approximately paralleling that or greater than employed in therapy with wild type native or recombinant IL-4. An effective amount of the IL-4 mutein is preferably administered. An "effective amount" means an amount capable of preventing or lessening the severity or spread of the condition or indication  
10      being treated. It will be apparent to those of skill in the art that the effective amount of IL-4 mutein will depend, *inter alia*, upon the disease, the dose, the administration schedule of the IL-4 mutein, whether the IL-4 mutein is administered alone or in conjunction with other therapeutic agents, the serum half-life of the composition, and the general health of the patient.

15       The IL-4 mutein is preferably administered in a composition including a pharmaceutically acceptable carrier. "Pharmaceutically acceptable carrier" means a carrier that does not cause any untoward effect in patients to whom it is administered. Such pharmaceutically acceptable carriers are well known in the art. We prefer 2% HSA/PBS at pH 7.0.

20       The IL-4 muteins of the present invention can be formulated into pharmaceutical compositions by well known methods. See, e.g., Remington's Pharmaceutical Science by E. W. Martin, hereby incorporated by reference, describes suitable formulations. The pharmaceutical composition of the IL-4 mutein may be formulated in a variety of forms, including liquid, gel,  
25      lyophilized, or any other suitable form. The preferred form will depend upon the particular indication being treated and will be apparent to one of skill in the art.

30       The IL-4 mutein pharmaceutical composition may be administered orally, by aerosol, intravenously, intramuscularly, intraperitoneally, intradermally or subcutaneously or in any other acceptable manner. The preferred mode of

administration will depend upon the particular indication being treated and will be apparent to one of skill in the art. The pharmaceutical composition of the IL-4 mutein may be administered in conjunction with other therapeutic agents. These agents may be incorporated as part of the same pharmaceutical composition or 5 may be administered separately from the IL-4 mutein, either concurrently or in accordance with any other acceptable treatment schedule. In addition, the IL-4 mutein pharmaceutical composition may be used as an adjunct to other therapies.

Accordingly, this invention provides compositions and methods for 10 treating immune disorders, cancers or tumors, abnormal cell growth, or for immunomodulation in any suitable animal, preferably a mammal, most preferably human. As previously noted in the Background section, IL-4 has many effects. Some of these are stimulation of T cell proliferation, T-helper cell differentiation, induction of human B-cell activation and proliferation, and 15 lymphokine-directed immunoglobulin class switching of immunoglobulins. Effects on the lymphoid system include increasing the expression of MHC class II antigen (*Noelle, R., et al.*, Increased Expression of Ia Antigens on resting B cells: a New Role for B Cell Growth Factor, PNAS USA, 81:6149-53 (1984), and CD 23 on B cells (*Kikutani, H., et al.*, Molecular Structure of Human Lymphocyte Receptor 20 for Immunoglobulin, Cell 47:657-61 (1986)). Thus, the biology of IL-4 suggests that it may have a significant role in the development of allergy and allergic inflammatory diseases including asthma. T-helper cell type 1 (Th1) and type 2 (Th2) are involved in the immune response. Stimulated Th2 cells secrete IL-4 and block Th1 progression. Any Th2-implicated disease is amenable to treatment by 25 an IL-4 antagonist; likewise, any Th1-implicated disease is amenable to treatment by an IL-4 agonist.

Also contemplated is use of the DNA sequences encoding the IL-4 muteins of this invention in gene therapy applications. Gene therapy applications contemplated for IL-4 antagonists include treatment of those diseases in which 30 IL-4 is expected to cause or exacerbate an existing clinical condition, such as an

inflammation-related condition (asthma), or allergies. Agonist indications would include autoimmune diseases such as rheumatoid arthritis, multiple sclerosis, and insulin-dependent diabetes mellitus. These autoimmune diseases are characterized by a polarization in production of the T helper cell populations 5 towards T helper type 1 (Th1).

- Local delivery of IL-4 muteins, both agonist and antagonist, using gene therapy may provide the therapeutic agent to the target area while avoiding potential toxicity problems associated with non-specific administration of agonists. Both *in vitro* and *in vivo* gene therapy methodologies are contemplated.
- 10 Several methods for transferring potentially therapeutic genes to defined cell populations are known. See, e.g., *Mulligan, The Basic Science Of Gene Therapy, Science*, 260: 926-31 (1993). These methods include:
- 1) Direct gene transfer. See, e.g., *Wolff et al., Direct Gene transfer Into Mouse Muscle In Vivo, Science*, 247:1465-68 (1990);
  - 15 2) Liposome-mediated DNA transfer. See, e.g., *Caplen et al., Liposome-mediated CFTR Gene Transfer To The Nasal Epithelium Of Patients With Cystic Fibrosis, Nature Med.* 3: 39-46 (1995); *Crystal, The Gene As A Drug, Nature Med.* 1:15-17 (1995); *Gao and Huang, A Novel Cationic Liposome Reagent For Efficient Transfection Of Mammalian Cells, Biochem. Biophys. Res. Comm.*, 20 179:280-85 (1991);
  - 3) Retrovirus-mediated DNA transfer. See, e.g., *Kay et al., In Vivo Gene Therapy Of Hemophilia B: Sustained Partial Correction In Factor IX-Deficient Dogs, Science*, 262:117-19 (1993); *Anderson, Human Gene Therapy, Science*, 256:808-13 (1992);
  - 25 4) DNA Virus-mediated DNA transfer. Such DNA viruses include adenoviruses (preferably Ad-2 or Ad-5 based vectors), herpes viruses (preferably herpes simplex virus based vectors), and parvoviruses (preferably "defective" or non-autonomous parvovirus based vectors, more preferably adeno-associated virus based vectors, most preferably AAV-2 based vectors). See, e.g., *Ali et al., The Use Of DNA Viruses As Vectors For Gene Therapy, Gene Therapy*, 1:367-84

(1994); United States Patent 4,797,368, incorporated herein by reference, and United States Patent 5,139,941, incorporated herein by reference.

The choice of a particular vector system for transferring the gene of interest will depend on a variety of factors. One important factor is the nature of 5 the target cell population. Although retroviral vectors have been extensively studied and used in a number of gene therapy applications, these vectors are generally unsuited for infecting non-dividing cells. In addition, retroviruses have the potential for oncogenicity.

Adenoviruses have the advantage that they have a broad host range, can 10 infect quiescent or terminally differentiated cells, such as neurons or hepatocytes, and appear essentially non-oncogenic. See, e.g., *Ali et al., supra*, p. 367. Adenoviruses do not appear to integrate into the host genome. Because they exist extrachromosomally, the risk of insertional mutagenesis is greatly reduced. *Ali et al., supra*, p. 373.

15 Adeno-associated viruses exhibit similar advantages as adenoviral-based vectors. However, AAVs exhibit site-specific integration on human chromosome 19. *Ali et al., supra*, p. 377.

According to this embodiment, gene therapy with DNA encoding the IL-4 mutoins of this invention is provided to a patient in need thereof, concurrent 20 with, or immediately after diagnosis.

The skilled artisan will appreciate that any suitable gene therapy vector containing IL-4 mutoin DNA may be used in accordance with this embodiment. The techniques for constructing such a vector are known. See, e.g., *Ohno et al., supra*, p. 784; *Chang et al., supra*, p. 522. Introduction of the IL-4 mutoin 25 DNA-containing vector to the target site may be accomplished using known techniques, e.g., as described in *Ohno et al., supra*, p. 784.

In order that this invention may be better understood, the following examples are set forth. These examples are for the purpose of illustration only, and are not to be construed as limiting the scope of the invention in any manner.

## Examples

Generally. Alanine substitutions were introduced to the wild-type IL-4 sequence by site-directed mutagenesis at positions corresponding to residues predicted to be on the surface of the A- and C-helices of IL-4 (*Smith LJ; Redfield C; Boyd J; Lawrence GM; Edwards RG; Smith RA, and Dobson CM*), Human interleukin 4. The solution structure of a four-helix bundle protein, *J. Mol. Biol.*, **224**(4):899-904 (1992). These residues are the most likely to mediate the interaction of IL-4 with IL-4R $\alpha$  (Figure 1); effects on the binding affinity of alanine substituted IL-4 muteins for IL-4R $\alpha$  indicate that the substituted residue may be involved in the binding interaction. Residues that, when substituted with alanine, gave intermediate effects on affinity were extensively substituted to identify changes that improved affinity. Mutations that improved affinity may, when combined, achieve combinatorial increases in the affinity of IL-4 (or IL-4 related peptides) for IL-4R $\alpha$ . Increases in affinity should correlate with increased potency.

A: →

His Lys Cys Asp Ile Thr Leu Gln Glu Ile Ile Lys Thr Leu Asn

25 1 5 10 15

**Ser** Leu Thr Glu Gln Lys Thr Leu Cys Thr Glu Leu Thr Val Thr

20 25 30

30 Asp Ile Phe Ala Ala Ser Lys Asn Thr Thr Glu Lys Glu Thr Phe  
35 40 45

	Cys Arg Ala Ala Thr Val Leu Arg Gln Phe Tyr Ser His His Glu		
	50	55	60
	C:→		
5	Lys Asp Thr Arg Cys Leu Gly Ala Thr Ala Gln Gln Phe <u>His Arg</u>		
	65	70	75
	<u>His Lys Gln Leu Ile Arg Phe Leu Lys Arg Leu Asp Arg Asn Leu</u>		
	80	85	90
10	<u>Trp</u> Gly Leu Ala Gly Leu Asn Ser Cys Pro Val Lys Glu Ala Asn		
	95	100	105
	D:→		
	Gln Ser Thr Leu <u>Glu Asn Phe Leu Glu Arg Leu Lys Thr Ile Met</u>		
	110	115	120
15	<u>Arg Glu Lys Tyr Ser Lys</u> Cys Ser Ser		(SEQ ID NO:1)
	125		

Mutations examined in this study were introduced into a known antagonist variant of human IL-4 containing two substitutions in the D-helix, R121D and Y124D (*Tony HP, et al, Design of human interleukin-4 antagonists inhibiting interleukin-4-dependent and interleukin-13-dependent responses in T-cells and B-cells with high efficiency, Eur. J. Biochem, 225(2):659-65 (1994); this mutein is designated "IL-4[R121D/Y124D]".*). Muteins were expressed in a baculovirus system, purified to homogeneity, and evaluated in a solid-phase IL-4R $\alpha$  receptor binding assay. The biological significance of improved affinity for IL-4R $\alpha$  was evaluated in T cell proliferation assays. As the IL-4[R121D/Y124D] mutein is an antagonist of IL-4, improved affinity for IL-4R $\alpha$  should result in a decreased IC<sub>50</sub> for the higher affinity antagonist mutein (IC<sub>50</sub> is defined as the concentration of antagonist necessary to inhibit a defined agonist response 50%).

**Example 1. Production of muteins.** Muteins were generated by site-directed mutagenesis using primers containing codons corresponding to the

desired mutation essentially as described by *Kunkel TA, Roberts JD, and Zakour RA*, "Rapid and efficient site-specific mutagenesis without phenotypic selection", *Methods Enzymol* **154**: 367-382 (1987). Briefly, human IL-4 cDNA containing the restriction enzyme sites *Bam* HI and *Xba* I was subcloned into the M13 phage 5 vector M13 mp19 (New England Biolabs, Beverly, MA) using the same sites. Wild-type IL-4 cDNA was obtained using Polymerase Chain Reaction ("PCR") from a cDNA pool generated from mRNA isolated from human peripheral blood lymphocytes induced 24 hours with phorbol 12-myristate 13-acetate (10 ng/ml). The PCR primers used were, for the 5' end of the IL-4 open reading frame,

10

5'-CGC GGA TCC ATG GGT CTC ACC TCC-3' (SEQ ID NO:2);

and for the 3' end of the IL-4 open reading frame,

15 5'-CGC TCT AGA CTA GCT CGA ACA CTT TGA AT-3' (SEQ ID NO:3).

Restriction enzyme sites *Bam* HI (5'-end) and *Xba* I (3'-end) were incorporated into each oligonucleotide and are indicated by italics. The PCR conditions used were 1 minute at 94°C, 1 minute at 58.7°C, and 1 minute at 72°C 20 for 25 cycles. The correct IL-4 cDNA sequence so obtained was confirmed by sequencing using the Sequenase® sequencing kit (Amersham Life Sciences, Arlington Heights, IL) as described by the manufacturer. Uracil-containing single strand DNA (U-DNA) was obtained by transforming the *E. coli* strain CJ236 (Bio-Rad Laboratories, Hercules, CA) with IL-4 cDNA-containing M13 mp19. Site-directed mutagenesis utilized in general primers containing 15 nucleotides homologous to the template U-DNA 5' to the codon(s) targetted for mutagenesis, nucleotides that incorporate the desired change, and an additional 10 nucleotides homologous to the template U-DNA 3' of the last altered nucleotide. The D-helix mutations Arg-121 to Asp and Tyr-124 to Asp were 25 introduced to the wild-type IL-4 sequence. Uracil DNA for this variant, termed 30

IL-4[R121D/Y124D], was generated as described above. All mutations generated in these studies were generated using the IL-4[R121D/Y124D] template.

Primers were phosphorylated using T4 polynucleotide kinase (New England Biolabs, Beverly, MA) using the manufacturer's protocol. The 5 phosphorylated primer was then annealed to the U-DNA template, and followed by extension with T7 DNA polymerase (Bio-Rad Laboratories, Hercules, CA) as described by the manufacturer (Bio-Rad Laboratories, Hercules, CA). Cells of the *E. coli* strain DH5a<sup>TM</sup> (GibcoBRL, Gaithersburg, MD) were transformed with 5 µl of reaction mixture and plated in "LB medium" containing 0.7% agar. After 10 incubation at 37°C, plaques were expanded by picking three individual plaques arising from each mutagenesis reaction and transferring to 2 mls of "LB media" and grown overnight at 37°C. Single strand DNA was isolated using an M13 purification kit (Qiagen, Inc., Chatsworth, CA) per manufacturer's protocol, and clones containing the desired mutation were identified by sequencing the single 15 stranded DNA using the Sequenase® sequencing kit (Amersham Life Sciences, Arlington Heights, IL) per manufacturer's protocol. Replicative Form DNA (double stranded form of M13 phage) corresponding to plaques containing the correct mutated sequence of IL-4 was isolated using the Qiagen Plasmid Miniprep Kit (Qiagen, Inc., Chatsworth, CA). IL-4 mutein cDNA from was 20 isolated using *Bam* HI and *Xba* I from the purified Replicative Form DNA, and subcloned to the plasmid vector pFastBac<sup>TM</sup>1 (GibcoBRL, Gaithersburg, MD). After subcloning, recombinant baculovirus DNA (hereafter referred to as Bacmid) was generated by transforming pFastBac<sup>TM</sup>1 containing the mutein 25 cDNA to the *E. coli* strain DH10Bac<sup>TM</sup> (GibcoBRL, Gaithersburg, MD) as described by the manufacturer. Muteins were expressed in *Spodoptera frugiperda* (*Sf*) 9 cells using the Bac-to-Bac<sup>TM</sup> (GibcoBRL, Gaithersburg, MD) baculovirus expression system. All insect cell incubations occurred at 28°C. Briefly, 2 ml cultures of *Sf* 9 cells were transfected with 5µl of recombinant Bacmid using CellFECTIN<sup>TM</sup> (GibcoBRL, Gaithersburg, MD). The supernatant was harvested 30 60 hours post-transfection, and used to infect a 100-200 ml culture of 1X10<sup>6</sup> *Sf* 9

cells/ml in Grace's media (GibcoBRL, Gaithersburg, MD). Per manufacturer's protocol, the supernatants were harvested 48-60 hrs post-infection by centrifugation at 5000 rpm for 10 minutes in a Sorvall® RC-5B centrifuge using a GSA rotor (Dupont Instrument Co., Willmington, DE) and assayed for virus titre  
5 (typically, >1X10<sup>8</sup> plaque forming units/ml was obtained). For protein production, 2-3X10<sup>6</sup> *Sf* 9 cells/ml in 500 mls of SF900 II media (GibcoBRL, Gaithersburg, MD) were infected at a multiplicity of infection between 4-10 and the supernatant was harvested 60-72 hrs post-infection by centrifugation at 5000 rpm for 10 minutes in a Sorvall® RC-5B centrifuge using a GSA rotor (Dupont  
10 Instrument Co., Willmington, DE) and filtered through a sterile 0.2 µM filter unit.

**Example 2. Purification of muteins.** Anti-human IL-4 monoclonal antibodies C400.1 and C400.17 were generated using standard protocols from mice using recombinant human IL-4 (Genzyme Diagnostics, Cambridge, MA) as immunogen, were produced as ascites fluid, purified, and coupled to CNBr-  
15 activated Sepharose (Pharmacia, Uppsala, Sweden) as per manufacturer's protocol. *Sf* 9 cell supernatants generated from infection of *Sf* 9 cells by recombinant baculovirus containing the respective IL-4 mutein were loaded onto a 1 ml column of anti-IL-4 MAb-coupled Sepharose, washed with 100 mM NaHCO<sub>3</sub>, 500 mM NaCl, pH 8.3, washed with water to remove salt, and eluted  
20 with 8 column volumes of 100mM Glycine, pH 3.0. Fractions were collected in siliconized vials containing 0.1 volume 1M Tris, pH 8.0. Mutein protein was further purified by reverse phase chromatography using a Dynamax®-300Å C18 column (Rainin Instrument Co., Woburn, MA) with a 0-100% gradient of Buffer A to B (Buffer A, water; Buffer B, acetonitrile, 0.1% trifluoroacetic acid). Fractions  
25 were evaluated by SDS-PAGE, and mutein-containing fractions were lyophilized for storage, and resuspended in sterile phosphate-buffered saline (PBS; 10 mM NaPO<sub>4</sub>, 137 mM NaCl, pH 7.6) for assays. Mutein so purified was typically a single band as observed by SDS-PAGE (silver stain), and was quantitated by amino acid analysis (accuracy typically >90%).

**Example 3. Receptor binding assays.** In order to determine the effects of substitution on the ability of IL-4 muteins to bind to IL-4R $\alpha$  (*Ldzerda, R.L., et. al., Human interleukin 4 receptor confers biological responsiveness and defines a novel receptor superfamily, J. Exp. Med. 171:861-873(1990)*), a solid-phase receptor binding assay was developed. Briefly, the affinity of muteins was measured by their ability to displace radiolabelled IL-4 from IL-4R $\alpha$  bound to a solid surface. Figure 2 shows the ability of T13D-IL-4[R121D/Y124D] to compete  $^{125}$ I-IL-4 in a solid phase binding assay relative to that of IL-4[R121D/Y124D]. The assay was formatted using 96-well FlashPlates® (DuPont NEN®, Boston, MA) coated with streptavidin; the extracellular domain of IL-4R $\alpha$  was bound to these plates by virtue of a peptide tag engineered to the extracellular domain of IL-4R $\alpha$  that binds streptavidin. FlashPlates contain a scintillant impregnated into the plastic of the plate, which has the property that only radiolabelled compound in close proximity to the surface of the plate will incite scintillation. Samples containing radiolabelled IL-4 and IL-4 antagonist mutein were added to each well, and incubated until equilibrium was obtained. Because non-bound radiolabelled compound does not induce a scintillation signal, no washing step was required before assessing the amount of radioactivity bound in each well. The radioactivity measured thus represents the amount of radiolabelled IL-4 bound to IL-4R $\alpha$ , and, upon consideration of the quantity of unlabelled IL-4 antagonist mutein added, allows the calculation of the affinity of the unlabelled IL-4 antagonist mutein for IL-4R $\alpha$ . An internal standard was generated in each assay by measuring the affinity of IL-4[R121D/Y124D] in parallel with each mutein. Thus, specific relative measures of affinity could be obtained, which allowed the assessment of the effect of individual substitutions on the affinity for IL-4R $\alpha$ .

The extracellular domain of IL-4R $\alpha$  was recovered using PCR from a Jurkat cell lgt11 library (Stratagene Cloning Systems, La Jolla, CA). The PCR primers used to isolate the extracellular domain of IL-4R $\alpha$  were, for the 5' end of the IL-4R $\alpha$  open reading frame,

5' GGC ATG GAT CCA TGG GGT GGC TTT GCT CTG G 3' (SEQ ID NO:4);

and for the 3' end of the extracellular domain of IL-4R $\alpha$ ,

5

5' AAG CCG CTA GCG CTG TGC TGC TCG AAG GGC 3' (SEQ ID NO:5).

The PCR conditions used were 1 minute at 94°C, 1 minute at 65.8°C, and 1 minute at 72°C for 30 cycles. The resultant PCR product was digested with the  
10 restriction enzymes *Bam*H I and *Eco*47III, and subcloned into a pBluescript® vector (Stratagene Cloning Systems, La Jolla, CA) containing DNA with the codons corresponding to the sequence Ser-Ala-Trp-Arg-His-Pro-Gln-Phe-Gly-Gly (SEQ ID NO: 6) digested with the same enzymes. This sequence has been reported to bind to streptavidin (*Schmidt TG and Skerra, A.*, The random peptide  
15 library-assisted engineering of a C-terminal affinity peptide, useful for the detection and purification of a functional Ig Fv fragment, Protein Eng, 6(1):109-122 (1992)). The extracellular domain of IL-4R $\alpha$  so generated encoded the peptide sequence Ser-Ala-Trp-Arg-His-Pro-Gln-Phe-Gly-Gly (SEQ ID NO: 6) at the C-terminus of the extracellular domain, and was termed sIL-4R $\alpha$ -STX (Met at  
16 position 1 is the N-terminus of the mature IL-4R $\alpha$ ) and is SEQ ID NO: 7.

sIL-4R $\alpha$ -STX protein was produced using the baculovirus system in a manner identical to that used for IL-4 antagonist muteins, purified by affinity chromatography with an IL-4-coupled matrix as described (*Kruse N, et. al.*, "Two distinct functional sites of human interleukin 4 are identified by variants  
25 impaired in either receptor binding or receptor activation." EMBO J., 12(13) p5121-9(1993).), and stored in PBS. The IL-4 matrix was generated by coupling IL-4 produced in *E. coli* to CNBr Sepharose 4B as described by the manufacturer (Pharmacia, Uppsala, Sweden). Streptavidin-coated FlashPlates® (DuPont  
NEN®, Boston, MA) were coated with 100  $\mu$ l of 2  $\mu$ g/ml sIL-4R $\alpha$ -STX in 100 mM  
30 Tris, 0.1% BSA, pH 7.0 for 2 hrs at 20°C, washed with PBS, 0.1% BSA, pH 7.6, and

incubated with 200 pM  $^{125}\text{I}$ -IL-4 (DuPont NEN®, Boston, MA) and varying concentrations of IL-4 antagonist mutein in 100  $\mu\text{l}$  PBS, 0.1% BSA, pH 7.6 for 1.5 hours at 20°C in quadruplicate. Assays were repeated at least twice. As an internal reference, IL-4[R121D/Y124D] was titrated in parallel with each mutein  
5 on the same Flashplate®. Bound radioactivity was measured in a TopCount' scintillation counter (Packard Instrument Co., Meriden, CT), and  $K_d$  values were calculated using the Ligand program (Munson, P.J. and Rodbard, D., "Computerized Analysis of Ligand Binding Data". Meth. Enzymol., 92 p543-576 (1983)); error in the  $K_d$  values, expressed as %CV, was between 2-20%. Results  
10 were expressed as the ratio of  $K_d$  mutein/ $K_d$  IL-4[R121D/Y124D] using data acquired from within each assay plate. Differences between  $K_d$  values measured reflect either a relative increase in affinity of the respective mutein for IL-4R $\alpha$  (i.e.,  $K_d$  mutein/ $K_d$  IL-4[R121D/Y124D] <1), or a relative decrease in affinity of the respective mutein for IL-4R $\alpha$  (i.e.,  $K_d$  mutein/ $K_d$  IL-4[R121D/Y124D] >1).  
15

Example 4. 1° T cell proliferation assay. Primary T cells were obtained from fresh blood from normal donors and purified by centrifugation using Ficoll-Paque® Plus (Pharmacia, Upsalla, Sweden) essentially as described by Kruse, N., Tony, H. P. and Sebald, W., Conversion of human interleukin-4 into a high affinity antagonist by a single amino acid replacement, Embo J. 11: 3237-44 (1992). The  
20 purified peripheral blood mononuclear cells were incubated for 7 days with 10  $\mu\text{g}/\text{ml}$  phytohemagglutinin (Sigma Chemical Co., St. Louis, MO), harvested by centrifugation, and washed in RPMI 1640 media (GibcoBRL, Gaithersburg, MD).  
25 5 X  $10^4$  activated T cells/well (PHA- blasts) were incubated with varying amounts of IL-4 or mutein in RPMI 1640 media containing 10% fetal bovine serum, 10 mM HEPES, pH 7.5, 2 mM L-glutamine, 100 units/ml penicillin G, and 100  $\mu\text{g}/\text{ml}$  streptomycin sulphate in 96 well plates for 48 hrs at 37°C, pulsed with 1  $\mu\text{Ci}$   $^{3}\text{H}$ -thymidine (DuPont NEN®, Boston, MA)/well for 6 hrs, harvested, and radioactivity was measured in a TopCount' scintillation counter (Packard Instrument Co., Meriden, CT).

**Example 5. Effect of alanine substitution on the affinity of IL-4 for IL-4R $\alpha$ .** The effects of alanine substitution of the surface-exposed residues of helices A- and C- of IL-4 on the affinity of IL-4 for IL-4R $\alpha$  are shown in Table I.

5

**Table I. Results of the alanine-scan of the surface-exposed residues of the A- and C-helices of IL-4.\***

Mutation	K <sub>d</sub> mutein/K <sub>d</sub> IL-4[R121D/Y124D]
<i>A-helix:</i>	
Ile-5 to Ala	71
Gln-8 to Ala	1.1
Glu-9 to Ala	125
Ile-11 to Ala	3.3
Lys-12 to Ala	1.0
Thr-13 to Ala	6.4
Asn-15 to Ala	1.8
Ser-16 to Ala	0.43
<i>C-helix:</i>	
His-74 to Ala	1.9
Lys-77 to Ala	3.7
Gln-78 to Ala	4.7
Arg-81 to Ala	8.9
Phe-82 to Ala	2.3
Lys-84 to Ala	11
Arg-85 to Ala	4.2
Arg-88 to Ala	150
Asn-89 to Ala	51
Trp-91 to Ala	2.3

\* All mutations were superimposed upon the IL-4[R121D/Y124D] backbone.

- As alanine substitution generally does not cause structural changes in the protein fold, effects on activity, in this case affinity, can be ascribed to the loss of the side chain substituted (*Cunningham, B.C., Wells, J.A., High-resolution epitope mapping of hGH-receptor interactions by alanine-scanning mutagenesis, Science 244(4908) 5 :1081-5 (1989).* Nominal changes in affinity (e.g., ~2-fold) that result as a consequence of alanine substitution suggest that the residue/position substituted is not involved in the interaction; large effects (e.g., >100-fold) suggest that the residue/position substituted is critical for the interaction (*Lowman HB, et. al., "Selecting high-affinity binding proteins by monovalent phage display". 10 Biochemistry 30(45) p10832-8 (1991)*). Lowman, et.al., (*ibid.*) found that those residues displaying intermediate effects in binding as a consequence of alanine substitution were, in addition to the most sensitive residues, involved with the interaction being studied.
- Applying these conclusions to the results of this alanine scan of the A- and C-helices of IL-4, the most critical residues for the interaction of IL-4 with IL-4R $\alpha$  are Glu-9 and Arg-88; intermediate residues would include Ile-5>Asn89>Lys-84~Arg-81>Thr-13~Gln-78~Arg-85~Lys-77; residues likely not involved in the interaction include Gln-8, Ile-11, Lys-12, Asn-15, His-74, Phe-82, and Trp-91. Ser-16, by virtue of the observed increase in affinity when substituted with alanine, is at or within the interface formed between IL-4 and IL-4R $\alpha$ . These results define a probable binding surface of IL-4 for IL-4R $\alpha$ , that when put in context of the structure of IL-4 (*Smith LJ, et. al., Human interleukin 4. The solution structure of a four-helix bundle protein, J. Mol. Biol. 224(4):899-904 (1992)*), consists of adjacent portions of the A- and C-helices of IL-4, and extends for about three helical turns on each helix, i.e., positions 5-16 on the A helix, and 77-89 on the C helix. The most likely residues involved in the contact with the IL-4 receptor alpha are, in the A-helix: Ile-5, Glu-9, Thr-13, and Ser-16; in the C-helix: Lys-77, Gln-78, Arg-81, Lys-84, Arg-85, Arg-88, and Asn-89. Structurally, the critical center of interaction appears to be composed of residues Glu-9, Arg-88, and Asn-89, and the observed alanine effect generally decreases as one moves away from

this portion of the molecule. This analysis thus describes a binding surface of IL-4 for IL-4Ra.

Example 6. Substitution mutants at selected positions. In a previous analysis of growth hormone, substitutions for residues that, when substituted with alanine had an intermediate effect on affinity, were found that improved the affinity of growth hormone for its receptor (*Lowman HB, et. al., "Selecting high-affinity binding proteins by monovalent phage display". Biochemistry 30(45) p10832-8(1991)*). It was found that the nature of the substitution of a given residue that resulted in improved affinity was not predictable. Thus, in the analysis presented here, all substitutions that would have no inherent structural effects (*i.e.*, exclusive of Cys, Gly, Pro) or undergo facile oxidative reactions (*i.e.*, exclusive of Met) were introduced at the targeted positions:

**Table II.** Targeted residues and their substitutions.\*

Residue	Ala-effect	Substitutions
Ile-5	71	D, E, F, H, K, L, N, Q, R, S, T, V, W, Y
Thr-13	6.4	D, E, F, H, I, K, L, N, Q, R, S, V, W, Y
Ser-16	0.43	D, E, F, H, I, K, L, N, Q, R, T, V, W, Y
Arg-81	8.9	D, E, F, H, I, K, L, N, Q, S, T, V, W, Y
Asn-89	51	D, E, F, H, I, K, L, Q, R, S, T, V, W, Y

\* All mutations were superimposed upon the IL-4[R121D/Y124D] backbone.

Cysteine, glycine, methionine, and proline were thus excluded from this further substitution analysis. Residues were chosen for further analysis if, upon alanine substitution, there was a decrease in affinity between 5 and 80-fold or any increase in affinity; this range was chosen based on the results obtained by Lowman, *et.al.* (*ibid*). Additionally, Ser-16 was selected for further analysis because of the observed increase in affinity that resulted from alanine substitution; this suggested that other substitutions at this position may also yield affinity improvements.

Example 7. Substitutions that yielded improved affinity for IL-4R $\alpha$ . Muteins containing substitutions at individual positions in the A- and C-helices were generated in combination with IL-4[R121D/Y124D] backbone; competitive binding assays were performed such that each mutein was compared in parallel 5 with IL-4[R121D/Y124D]. This allowed direct comparisons and thus conclusions about the effect of each substitution on the affinity of the specific mutein for IL-4R $\alpha$ .

All substitutions that resulted in improved affinity are shown in Table III. The ratio "K<sub>d</sub> IL-4[R121D/Y124D]/K<sub>d</sub> mutein" indicates the relative increase in 10 affinity observed as a consequence of each substitution.

Table III.

Substitution	K <sub>d</sub> IL-4[R121D/Y124D]/K <sub>d</sub> mutein
T13D-IL-4[R121D/Y124D]	18
S16A-IL-4[R121D/Y124D]	2.5
S16D-IL-4[R121D/Y124D]	3.1
S16H-IL-4[R121D/Y124D]	1.5
S16I-IL-4[R121D/Y124D]	1.8
S16L-IL-4[R121D/Y124D]	2.9
S16Q-IL-4[R121D/Y124D]	2.3
S16R-IL-4[R121D/Y124D]	1.8
S16T-IL-4[R121D/Y124D]	2.4
S16V-IL-4[R121D/Y124D]	2.0
S16Y-IL-4[R121D/Y124D]	1.8
R81K-IL-4[R121D/Y124D]	1.8
N89I-IL-4[R121D/Y124D]	2.0

Most substitutions were either deleterious or had no effect on the affinity 15 for IL-4R $\alpha$  (data not shown). However, several substitutions did improve the affinity, the most notable of which was the Thr-13 to Asp substitution that

resulted in a surprising 18-fold affinity increase for IL-4R $\alpha$  (Figure 2). The amino acid Ser-16 was unique in this analysis wherein most substitutions resulted in modest increases in affinity. Similar competitive binding profiles were obtained for these other muteins in correlation with their relative affinity (data not shown).

5 Affinity improvements are relative to the IL-4[R121D/Y124D] parent protein. It is anticipated that combining these substitutions in one protein may result in combinatorial increases in affinity; e.g., [T13D/N89I]-IL-4[R121D/Y124D] may produce a mutein with 36-fold greater affinity than IL-4[R121D/Y124D].

10 Residues Thr-13 and Ser-16 yielded the best improvements in affinity when the appropriate substitution was identified. This would suggest that other residues that, when substituted with alanine gave similar effects as either mutein T13A or S16A (6.4-fold decrease and 2.5-fold increase, respectively), would also likely yield higher affinity IL-4 variants when appropriately substituted. For the  
15 current series of alanine-substituted residues, this would include: Ile-11, Lys-77, Gln-78, Lys-84 and Arg-85.

For growth hormone, which has been extensively studied, single substitutions which resulted in increases in affinity were on the order of 1.5- to 5-fold (*Lowman HB; Wells JA, "Affinity maturation of human growth hormone by 20 monovalent phage display". J. Mol. Biol. 234(3) p564-78 (1993)*). Recently, however, substitutions that have resulted in large improvements in either activity (human IL-3 (*Olins PO, et.al., Saturation mutagenesis of human interleukin-3, J. Biol. Chem. 270(40):23754-60(1995)*)) or affinity (human ciliary neurotrophic factor (CNTF) (*Saggio I, et. al., CNTF variants with increased 25 biological potency and receptor selectivity define a functional site of receptor interaction, EMBO J. 14(13):3045-54 (1995)*))) have been identified. For IL-3 one mutation increased *in vitro* biological activity ~26-fold; for CNTF, a single substitution increased affinity ~32-fold. For other cytokines in the literature, most substitutions generally resulted in no effect or losses of affinity/activity.

Thus, the absolute effect of any given substitution on affinity and/or activity is unpredictable.

**Example 8. Effect of T13D substitution on biological activity.** The IL-4 antagonist mutein IL-4[R121D/Y124D] is an antagonist of IL-4 (*Tony HP, Shen BJ, Reusch P, and Sebald W, "Design of human interleukin-4 antagonists inhibiting interleukin-4-dependent and interleukin-13-dependent responses in T-cells and B-cells with high efficiency.". Eur. J. Biochem., 225(2) p659-65 (1994)*), and was used as the 'baseline' peptide in this study due to its inability to stimulate IL-4 activities. This antagonist mutein is thought to be an antagonist by virtue of its ability to bind IL-4R $\alpha$  but not engage g<sub>c</sub> in a signaling competent manner, thus blocking IL-4 from binding to its cognate receptor complex. Thus, the biological effects of IL-4[R121D/Y124D] (IL-4 antagonism) are isolated to its interactions, measured by affinity, with IL-4R $\alpha$ .

In order to prove that receptor affinity correlates with biological potency, the mutein T13D-IL-4[R121D/Y124D] was evaluated for its ability to inhibit IL-4-induced proliferation of PHA- blasts (Figure 3). The observed IC<sub>50</sub> (concentration at which 50% inhibition is seen) relative to IL-4[R121D/Y124D] was found to be approximately proportional to the observed relative change in receptor affinity.

In conclusion, although binding with higher affinity to IL-4R $\alpha$ , T13D-IL-4[R121D/Y124D] remains an IL-4 antagonist. The IC<sub>50</sub> for T13D-IL-4[R121D/Y124D] *vs.* the IL-4[R121D/Y124D] is approximately proportional to the relative K<sub>d</sub> values (as measured in the solid phase binding assay) obtained for these two proteins: the K<sub>d</sub> of T13D-IL-4[R121D/Y124D] is ~18-fold lower than the K<sub>d</sub> of IL-4[R121D/Y124D] (0.28 nM *vs.* 5.0 nM, respectively); the IC<sub>50</sub> of T13D-IL-4[R121D/Y124D] is ~5-10-fold lower than the IC<sub>50</sub> of IL-4[R121D/Y124D] (2 nM *vs.* 13 nM, respectively). The specific numerical differences in relative effect may be a consequence of the particular conditions of each assay: 1.5 hrs incubation for the solid-phase binding assay at 20°C *vs.* 48 hrs incubation at 37°C for the proliferation assay. The ability of other

muteins evaluated in this study to compete IL-4 in biological assays was also proportional to their relative Kd to the IL-4[R121D/Y124D] (data not shown). These results indicate that binding to IL-4R $\alpha$  is a separable event from activation of the IL-4 receptor; this activation requires the heterodimerization of IL-4R $\alpha$  and at least one other subunit (e.g., gc). Thus, modification to IL-4 in the A- and C-helix modulates the affinity of IL-4 for IL-4R $\alpha$ , and does so in a proportionate manner to the ability of said mutein to antagonize IL-4 in a biological context. This affinity effect, by virtue of the mechanism of interaction of IL-4 with its receptor, should also translate to increasing the potency of IL-4-derived agonist peptides.

The theories of this invention may also be adapted to other cytokines. The most obvious target is IL-13 due to the fact that the IL-13 receptor complex also utilizes IL-4R $\alpha$  (Zurawski S.M., et al., The primary binding subunit of the human interleukin-4 receptor is also a component of the interleukin-13 receptor, J. Biol. Chem. 270:13869-78(1995)). Therefore, mutating the A- and C-helices of IL-13 to more closely resemble those of IL-4 should result in an increase in binding affinity for IL-4R $\alpha$ .

An alignment of the two interleukins enables identification of the positions that would be analogous to a target mutation site, for example, Thr 13 on IL-4.  
20 The binding surfaces of the two interleukins are compared in Table IV below.

Table IV

Comparision of the A- and C-helices of IL-4 with the Sequences of IL-13\*

	Residue positions	Sequence
<i>A-helix:</i>		
hIL-4	5-17	...ITLQE <u>I</u> IK <u>T</u> LN <u>S</u> ...
hIL-13	4-16	...TALRE <u>LIEE</u> ELV <u>N</u> ...
<i>C-helix:</i>		
hIL-4	74-91	...HKQL <u>I</u> RFLKRLDR <u>N</u> LW...
hIL-13	59-74	...TQRML <u>S</u> GFCPHK <u>V</u> SAG...

5

\*Alignment from: *Bamborough, P., Duncan, D., and Richards, W.G.*, "Predictive Modelling of the 3-D Structure of Interleukin-13", *Protein Engineering*, 7, pp. 1007-82 (1994)

The most critical residues of IL-4 mediating the interactions with IL-4R $\alpha$  identified from the alanine-scan, Glu-9 and Arg-88, are shown in bold-faced type, as are the corresponding residues in IL-13 based on this sequence alignment. As 10 previously mentioned, IL-13 utilizes the IL-4R $\alpha$  chain in its receptor complex (Zurawski S.M., et al., *supra*). Thus, changing the A- and C-helices of IL-13 to more closely resemble those of IL-4 should result in an increase in binding affinity for IL-4R $\alpha$ . Additionally, substitution of positionally-equivalent IL-13 residues with residues found to increase the affinity of IL-4 for IL-4R $\alpha$  (positions 15 shown double-underlined for IL-4 and IL-13) should also result in increased affinity of IL-13 for its receptor complex, and thus improved potency.

**Sequences.** The following biological sequences are contained herein:

SEQ ID NO: 1: amino acid sequence, mature human IL-4;

SEQ ID NO: 2: nucleotide sequence, PCR primers;

20 SEQ ID NO: 3: nucleotide sequence, PCR primers;

SEQ ID NO: 4: nucleotide sequence, PCR primers;

SEQ ID NO: 5: nucleotide sequence, PCR primers;

SEQ ID NO: 6: amino acid sequence of peptide tag for streptavidin;

SEQ ID NO: 7: amino acid sequence of sIL-4Ra-STX;

SEQ ID NO: 8: amino acid, nucleotide sequence of T13D-IL4; and

SEQ ID NO: 9: amino acid, nucleotide sequence of T13D-IL4[R121D/Y124D].

5 Other embodiments of the invention will become apparent to one of skill in the art. The concept and experimental approach described herein should be applicable to other cytokines utilizing heterologous multimeric receptor systems, in particular IL-2 and related cytokines (e.g., IL-7, IL-9, IL-10, IL-13 and IL-15), interferon alpha, and interferon gamma.

10

## SEQUENCE LISTING

## (1) GENERAL INFORMATION:

- (i) APPLICANTS: Shanafelt, Armen; Greve, Jeffrey; Rocznak, Steven
- (ii) TITLE OF INVENTION: High Affinity IL-4 Muteins
- (iii) NUMBER OF SEQUENCES: 9
- (iv) CORRESPONDENCE ADDRESS:
  - (A) ADDRESSEE: Bayer Corporation, Pharmaceutical Division
  - (B) STREET: 400 Morgan Lane
  - (C) CITY: West Haven
  - (D) STATE: CT
  - (E) COUNTRY: United States of America
  - (F) ZIP: 06516-4175
- (v) COMPUTER READABLE FORM:
  - (A) MEDIUM TYPE: Diskette, 3.5 inch, 1.44 Mb storage
  - (B) COMPUTER: IBM PC compatible
  - (C) OPERATING SYSTEM: PC-DOS v. 6.30
  - (D) SOFTWARE: Word for Windows 6.0
- (vi) CURRENT APPLICATION DATA:
  - (A) APPLICATION NUMBER: 08/687,803
  - (B) FILING DATE: 19-JUL-1996
- (vii) ATTORNEY/AGENT INFORMATION:
  - (A) NAME: Huw R. Jones
  - (B) REGISTRATION NUMBER: 33, 916
  - (C) REFERENCE/DOCKET NUMBER: WH5020
- (viii) TELECOMMUNICATION INFORMATION:
  - (A) TELEPHONE NUMBER: (203) 812-2317
  - (B) TELEFAX: (203) 812-5492

## (2) INFORMATION FOR SEQ ID NO: 1:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 129
  - (B) TYPE: amino acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: protein
  - (A) DESCRIPTION: human Interleukin-4 protein
- (iii) HYPOTHETICAL: no
- (iv) ANTI-SENSE: no
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 1:

His	Lys	Cys	Asp	Ile	Thr	Leu	Gln	Glu	Ile	Ile	Lys	Thr	Leu	Asn
1														15
Ser	Leu	Thr	Glu	Gln	Lys	Thr	Leu	Cys	Thr	Glu	Leu	Thr	Val	Thr
														30
Asp	Ile	Phe	Ala	Ala	Ser	Lys	Asn	Thr	Thr	Glu	Lys	Glu	Thr	Phe
														45
Cys	Arg	Ala	Ala	Thr	Val	Leu	Arg	Gln	Phe	Tyr	Ser	His	His	Glu
														60

Lys Asp Thr Arg Cys Leu Gly Ala Thr Ala Gln Gln Phe His Arg  
65 70 75

His Lys Gln Leu Ile Arg Phe Leu Lys Arg Leu Asp Arg Asn Leu  
80 85 90

Trp Gly Leu Ala Gly Leu Asn Ser Cys Pro Val Lys Glu Ala Asn  
95 100 105

Gln Ser Thr Leu Glu Asn Phe Leu Glu Arg Leu Lys Thr Ile Met  
110 115 120

Arg Glu Lys Tyr Ser Lys Cys Ser Ser  
125

(2) INFORMATION FOR SEQ ID NO: 2:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 24
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: cDNA
  - (A) DESCRIPTION: 5' PCR Primer, IL-4
  - (iii) HYPOTHETICAL: no
  - (iv) ANTI-SENSE: no
  - (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 2:

CCGGGATCCA TGGGTCTCAC CTCC 24

(2) INFORMATION FOR SEQ ID NO: 3:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 29
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: cDNA
  - (A) DESCRIPTION: 3' PCR Primer, IL-4
  - (iii) HYPOTHETICAL: no
  - (iv) ANTI-SENSE: no
  - (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 3:

CGCTCTAGAC TAGCTCGAAC ACTTTGAAT 29

(2) INFORMATION FOR SEQ ID NO: 4:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 31
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: cDNA
  - (A) DESCRIPTION: 5' PCR Primer, IL-4R $\alpha$  (ED)
  - (iii) HYPOTHETICAL: no
  - (iv) ANTI-SENSE: no
  - (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 4:

GGCATGGATC CATGGGGTGG CTTTGCTCTG G 31

## (2) INFORMATION FOR SEQ ID NO: 5:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 30
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: cDNA

- (A) DESCRIPTION: 3' PCR Primer, IL-4R $\alpha$  (ED)

## (iii) HYPOTHETICAL: no

## (iv) ANTI-SENSE: no

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 5:

AAGCCGCTAG CGCTGTGCTG CTCGAAGGGC

30

## (2) INFORMATION FOR SEQ ID NO: 6:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 10
- (B) TYPE: amino acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: peptide

- (A) DESCRIPTION: tag for streptavidin

## (iii) HYPOTHETICAL: no

## (iv) ANTI-SENSE: no

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 6:

Ser Ala Trp Arg His Pro Gln Phe Gly Gly

10

1 5 10

## (2) INFORMATION FOR SEQ ID NO: 7:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 197
- (B) TYPE: amino acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: protein

- (A) DESCRIPTION: sIL-4R $\alpha$ -STX

## (iii) HYPOTHETICAL: no

## (iv) ANTI-SENSE: no

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 7:

Met Lys Val Leu Gln Glu Pro Thr Cys Val Ser Asp Tyr Met Ser  
1 5 10 15Ile Ser Thr Cys Glu Trp Lys Met Asn Gly Pro Thr Asn Cys Ser  
20 25 30Thr Glu Leu Arg Leu Gly Ala Gly Cys Val Cys His Leu Leu Met  
35 40 45Asp Asp Val Val Ser Ala Asp Asn Tyr Thr Leu Asp Leu Trp Ala  
50 55 60Gly Gln Gln Leu Leu Trp Lys Gly Ser Phe Lys Pro Ser Glu His  
65 70 75

Val Lys Pro Arg Ala Pro Gly Asn Leu Thr Val His Thr Asn Val		
80	85	90
Ser Asp Thr Leu Leu Leu Thr Trp Ser Asn Pro Tyr Pro Pro Asp		
95	100	105
Asn Tyr Leu Tyr Asn His Leu Thr Tyr Ala Val Asn Ile Trp Ser		
110	115	120
Glu Asn Asp Pro Ala Asp Phe Arg Ile Tyr Asn Val Thr Tyr Leu		
125	130	135
Glu Pro Ser Leu Arg Ile Ala Ala Ser Thr Leu Lys Ser Gly Ile		
140	145	150
Ser Tyr Arg Ala Arg Val Arg Ala Trp Ala Gln Cys Tyr Asn Thr		
155	160	165
Thr Trp Ser Glu Trp Ser Pro Ser Thr Lys Trp His Asn Ser Tyr		
170	175	180
Arg Glu Pro Phe Glu Gln His Ser Ala Trp Arg His Pro Gln Phe		
185	190	195
Gly Gly		
		197

(2) INFORMATION FOR SEQ ID NO: 8:

**(i) SEQUENCE CHARACTERISTICS:**

- (A) LENGTH: 462
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: cDNA

- (A) DESCRIPTION: IL4/T13D**

(iii) HYPOTHETICAL: no

- (iv) ANTI-SENSE: no

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 8:

ATG GGT CTC ACC TCC CAA CTG CTT CCC CCT CTG TTC TTC CTG CTA Met Gly Leu Thr Ser Gln Leu Leu Pro Pro Leu Phe Phe Leu Leu	45
1                   5                   10                   15	
GCA TGT GCC GGC AAC TTT GTC CAC GGA CAC AAG TGC GAT ATC ACC Ala Cys Ala Gly Asn Phe Val His Gly His Lys Cys Asp Ile Thr	90
20                   25                   30	
TTA CAG GAG ATC ATC AAA GAT TTG AAC AGC CTC ACA GAG CAG AAG Leu Gln Glu Ile Ile Lys Asp Leu Asn Ser Leu Thr Glu Gln Lys	135
35                   40                   45	
ACT CTG TGC ACC GAG TTG ACC GTA ACA GAC ATC TTT GCT GCC TCC Thr Leu Cys Thr Glu Leu Thr Val Thr Asp Ile Phe Ala Ala Ser	180
50                   55                   60	

AAG AAC ACA ACT GAG AAG GAA ACC TTC TGC AGG GCT GCG ACT GTG Lys Asn Thr Thr Glu Lys Glu Thr Phe Cys Arg Ala Ala Thr Val	65	70	75	225
CTC CGG CAG TTC TAC AGC CAC CAT GAG AAG GAC ACT CGC TGC CTG Leu Arg Gln Phe Tyr Ser His His Glu Lys Asp Thr Arg Cys Leu	80	85	90	270
GGT GCG ACT GCA CAG CAG TTC CAC AGG CAC AAG CAG CTG ATC CGA Gly Ala Thr Ala Gln Gln Phe His Arg His Lys Gln Leu Ile Arg	95	100	105	315
TTC CTG AAA CGG CTC GAC AGG AAC CTC TGG GGC CTG GCG GGC TTG Phe Leu Lys Arg Leu Asp Arg Asn Leu Trp Gly Leu Ala Gly Leu	110	115	120	360
AAT TCC TGT CCT GTG AAG GAA GCC AAC CAG AGT ACG ATG TTG GAA AAC Asn Ser Cys Pro Val Lys Glu Ala Asn Gln Ser Thr Leu Glu Asn	125	130	135	405
TTC TTG GAA AGG CTA AAG ACG ATC ATG AGA GAG AAA TAT TCA AAG Phe Leu Glu Arg Leu Lys Thr Ile Met Arg Glu Lys Tyr Ser Lys	140	145	150	450
TGT TCG AGC TAG Cys Ser Ser End				462

(2) INFORMATION FOR SEQ ID NO: 9:

- (i) SEQUENCE CHARACTERISTICS:
    - (A) LENGTH: 462
    - (B) TYPE: nucleic acid
    - (C) STRANDEDNESS: single
    - (D) TOPOLOGY: linear
  - (ii) MOLECULE TYPE: cDNA
    - (A) DESCRIPTION: IL-4/T13D[R121D/Y124D]
  - (iii) HYPOTHETICAL: no
  - (iv) ANTI-SENSE: no
  - (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 9:

ATG GGT CTC ACC TCC CAA CTG CTT CCC CCT CTG TTC TTC CTG CTA		45	
Met Gly Leu Thr Ser Gln Leu Leu Pro Pro Leu Phe Phe Leu Leu			
1	5	10	15
GCA TGT GCC GGC AAC TTT GTC CAC GGA CAC AAG TGC GAT ATC ACC		90	
Ala Cys Ala Gly Asn Phe Val His Gly His Lys Cys Asp Ile Thr			
20	25	30	
TTA CAG GAG ATC ATC AAA GAT TTG AAC AGC CTC ACA GAG CAG AAG		135	
Leu Gln Glu Ile Ile Lys Asp Leu Asn Ser Leu Thr Glu Gln Lys			
35	40	45	
ACT CTG TGC ACC GAG TTG ACC GTA ACA GAC ATC TTT GCT GCC TCC		180	
Thr Leu Cys Thr Glu Leu Thr Val Thr Asp Ile Phe Ala Ala Ser			
50	55	60	

AAG AAC ACA ACT GAG AAG GAA ACC TTC TGC AGG GCT GCG ACT GTG Lys Asn Thr Thr Glu Lys Glu Thr Phe Cys Arg Ala Ala Thr Val	65	70	75	225
CTC CGG CAG TTC TAC AGC CAC CAT GAG AAG GAC ACT CGC TGC CTG Leu Arg Gln Phe Tyr Ser His His Glu Lys Asp Thr Arg Cys Leu	80	85	90	270
GGT GCG ACT GCA CAG CAG TTC CAC AGG CAC AAG CAG CTG ATC CGA Gly Ala Thr Ala Gln Gln Phe His Arg His Lys Gln Leu Ile Arg	95	100	105	315
TTC CTG AAA CGG CTC GAC AGG AAC CTC TGG GGC CTG GCG GGC TTG Phe Leu Lys Arg Leu Asp Arg Asn Leu Trp Gly Leu Ala Gly Leu	110	115	120	360
AAT TCC TGT CCT GTG AAG GAA GCC AAC CAG AGT ACG TTG GAA AAC Asn Ser Cys Pro Val Lys Glu Ala Asn Gln Ser Thr Leu Glu Asn	125	130	135	405
TTC TTG GAA AGG CTA AAG ACG ATC ATG GAC GAG AAA GAC TCA AAG Phe Leu Glu Arg Leu Lys Thr Ile Met Asp Glu Lys Asp Ser Lys	140	145	150	450
TGT TCG AGC TAG Cys Ser Ser End				462

We claim:

1. A recombinant human IL-4 mutein numbered in accordance with wild-type IL-4 wherein said mutein comprises at least one amino acid substitution in the binding surface of either the A- or C- alpha helices of said wild-type IL-4 whereby said mutein binds to the IL-4R $\alpha$  receptor with at least greater affinity than native IL-4.
2. The recombinant human IL-4 mutein of claim 1 wherein said substitution is selected from the group of positions consisting of, in the A-helix, positions 13 and 16, and in the C-helix, positions 81 and 89.
3. The recombinant human IL-4 mutein of claim 2 wherein said substitution at position 13 is Thr to Asp.
4. A pharmaceutical composition comprising the recombinant human IL-4 mutein of claim 1 in combination with a pharmaceutically acceptable carrier.
5. An amino acid sequence coding for the recombinant human IL-4 mutein of claim 1.
6. The recombinant human IL-4 mutein of claim 1 encoded by the amino acid sequence of SEQ ID NO: 8.
7. A purified and isolated polynucleotide sequence coding for the recombinant human IL-4 mutein of claim 1.
8. A host cell transformed with the purified and isolated polynucleotide sequence of claim 7.

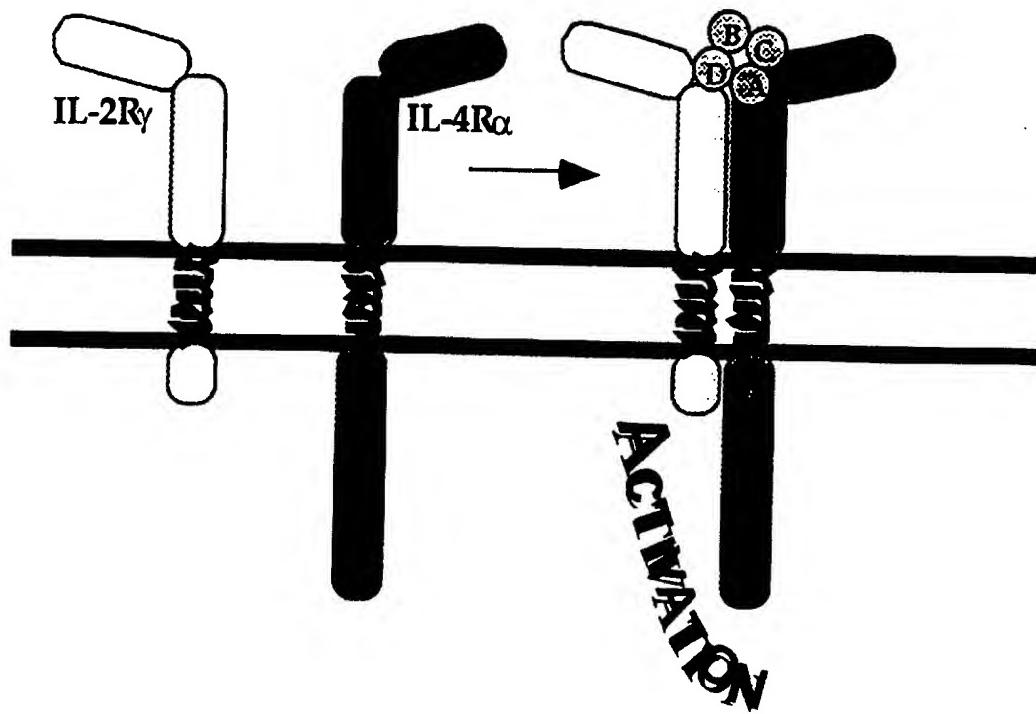
9. The recombinant human IL-4 mutein of claim 3 encoded by the DNA sequence of SEQ ID NO: 9 or a stably hybridizable variant thereof.
10. A transformed host cell capable of expressing the recombinant human IL-4 mutein of claim 9.
11. A method of treating a human in need thereof comprising administering a pharmaceutically effective amount of the composition of claim 4.
12. An assay for determining the ability of a mutein to bind to a receptor, comprising the steps of:
  - (a) introducing into a FlashPlate® coated with streptavidin the binding portion of a receptor chain, said binding portion of a receptor chain having a peptide tag capable of being bound by streptavidin;
  - (b) introducing into said FlashPlate a radiolabelled native ligand having an affinity for said binding portion of a receptor chain;
  - (c) introducing into said FlashPlate a mutein ligand having an affinity for said binding portion of a receptor chain;
  - (d) measuring the amount of signal given off by the FlashPlate after allowing for equilibration; and
  - (e) calculating the relative affinity of the mutein ligand versus the native ligand.
13. The method of claim 12 wherein said receptor chain is IL-4R $\alpha$ .
14. The method of claim 12 wherein said peptide tag comprises SEQ ID NO: 6 or a degenerate variant thereof.
15. The method of claim 12 wherein said peptide tag has been removed from the receptor chain and the receptor chain has been biotinylated.

16. The method of claim 12 wherein said binding portion of a receptor chain is encoded by SEQ ID NO: 7 or a degenerate variant thereof.
17. A recombinant human IL-4 antagonist mutein numbered in accordance with wild-type IL-4 wherein said mutein comprises:
  - (a) substitutions R121D and Y124D in the D-helix of said wild-type IL-4; and
  - (b) at least one amino acid substitution in the binding surface of either the A- or C- alpha helices of said wild-type IL-4 whereby said mutein binds to the IL-4R $\alpha$  receptor with at least greater affinity than native IL-4.
18. The recombinant human IL-4 antagonist mutein of claim 17 wherein said substitution is selected from the group of positions consisting of, in the A-helix, positions 13 and 16, and in the C-helix, positions 81 and 89.
19. The recombinant human IL-4 antagonist mutein of claim 18 wherein said substitution at position 13 is Thr to Asp.
20. A pharmaceutical composition comprising the recombinant human IL-4 antagonist mutein of claim 17 in combination with a pharmaceutically acceptable carrier.
21. An amino acid sequence coding for the recombinant human IL-4 antagonist mutein of claim 17.
22. The recombinant human IL-4 antagonist mutein of claim 17 encoded by the amino acid sequence of SEQ ID NO: 9.

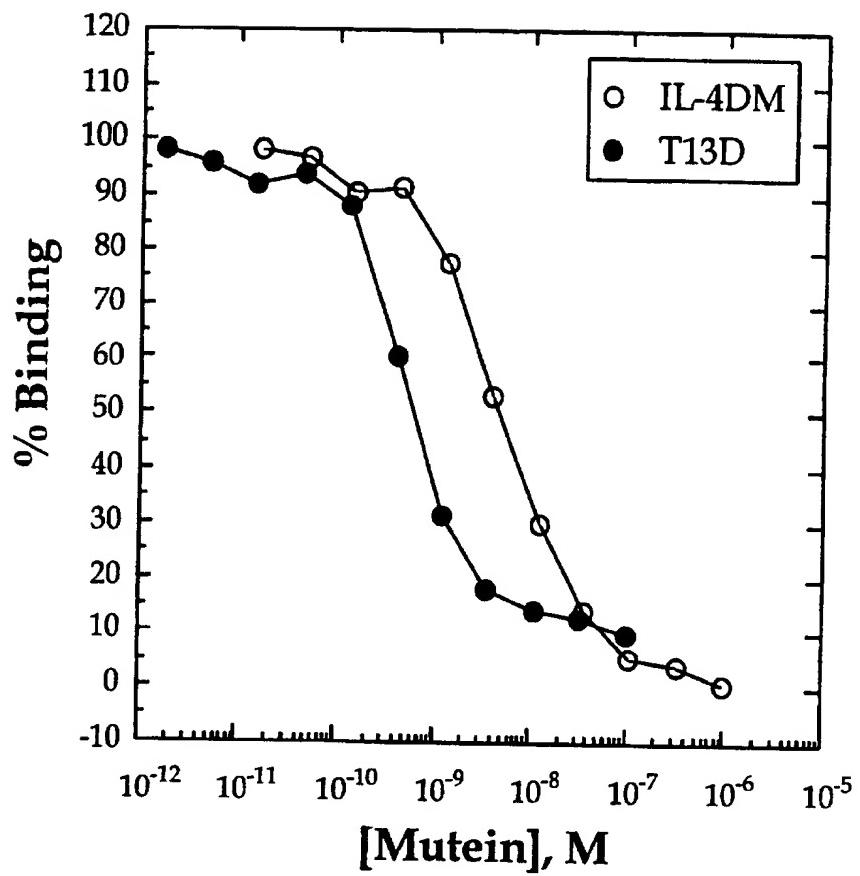
23. A purified and isolated polynucleotide sequence coding for the recombinant human IL-4 antagonist mutein of claim 17.
24. A host cell transformed with the purified and isolated polynucleotide sequence of claim 23.
25. The recombinant human IL-4 mutein of claim 19 encoded by the DNA sequence of SEQ ID NO: 9 or a stably hybridizable variant thereof.
26. A transformed host cell capable of expressing the recombinant human IL-4 mutein of claim 26.
27. A method of treating a human in need thereof comprising administering a pharmaceutically effective amount of the composition of claim 20.



- IL-4R $\alpha$  (high affinity): A-, C- helices
- IL-2R $\gamma$  (signaling): D-helix



**FIGURE 1**

**FIGURE 2**

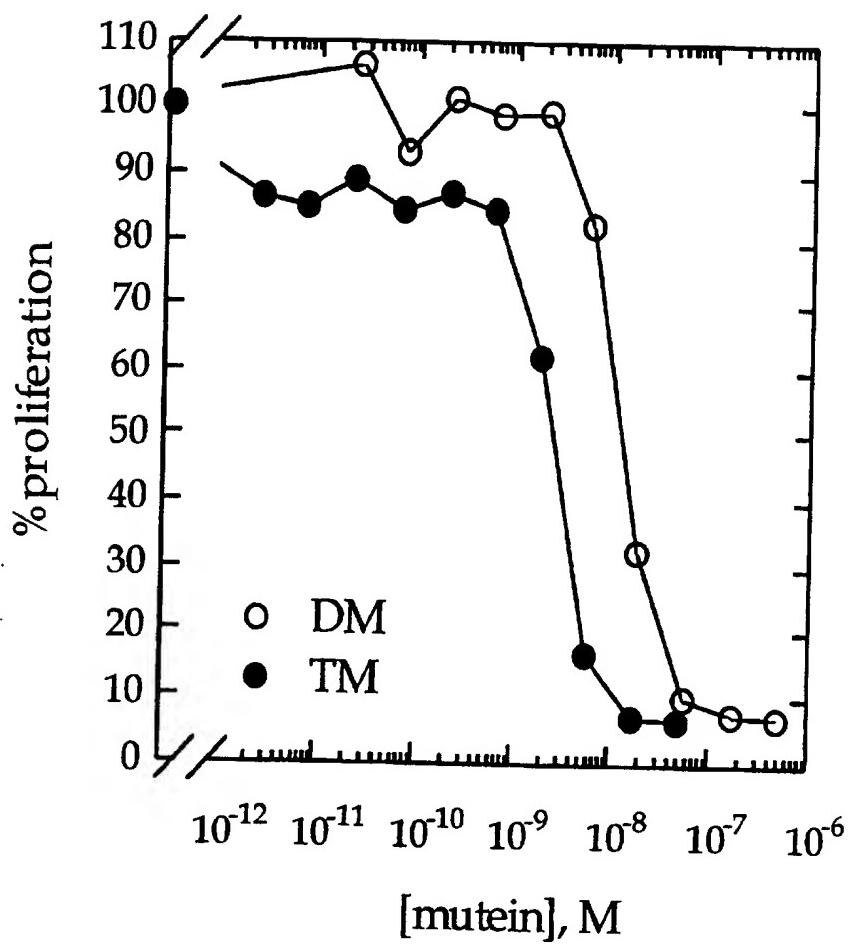


FIGURE 3

## sIL-4Ra-STX (SEQ ID NO:7)

Met Lys Val Leu Gln Glu Pro Thr Cys Val Ser Asp Tyr Met Ser  
1 5 10 15

Ile Ser Thr Cys Glu Trp Lys Met Asn Gly Pro Thr Asn Cys Ser  
20 25 30

Thr Glu Leu Arg Leu Gly Ala Gly Cys Val Cys His Leu Leu Met  
35 40 45

Asp Asp Val Val Ser Ala Asp Asn Tyr Thr Leu Asp Leu Trp Ala  
50 55 60

Gly Gln Gln Leu Leu Trp Lys Gly Ser Phe Lys Pro Ser Glu His  
65 70 75

Val Lys Pro Arg Ala Pro Gly Asn Leu Thr Val His Thr Asn Val  
80 85 90

Ser Asp Thr Leu Leu Leu Thr Trp Ser Asn Pro Tyr Pro Pro Asp  
95 100 105

Asn Tyr Leu Tyr Asn His Leu Thr Tyr Ala Val Asn Ile Trp Ser  
110 115 120

Glu Asn Asp Pro Ala Asp Phe Arg Ile Tyr Asn Val Thr Tyr Leu  
125 130 135

Glu Pro Ser Leu Arg Ile Ala Ala Ser Thr Leu Lys Ser Gly Ile  
140 145 150

Ser Tyr Arg Ala Arg Val Arg Ala Trp Ala Gln Cys Tyr Asn Thr  
155 160 165

Thr Trp Ser Glu Trp Ser Pro Ser Thr Lys Trp His Asn Ser Tyr  
170 175 180

Arg Glu Pro Phe Glu Gln His Ser Ala Trp Arg His Pro Gln Phe  
185 190 195

Gly Gly

## FIGURE 4

T13D-IL4 (SEQ ID NO: 8)

ATGGGTCTCACCTCCAACTGCTTCCCCCTGTGTTCTCCTGCTAGCATGTGCCGGCAAC  
 1 -----+-----+-----+-----+-----+-----+-----+-----+  
 TACCCAGAGTGGAGGGTTGACGAAGGGGAGACAAGAAGGACGATCGTACACGGCCGTTG  
  
 a: MetGlyLeuThrSerGlnLeuLeuProProLeuPhePheLeuLeuAlaCysAlaGlyAsn  
  
 TTTGTCCACGGACACAAGTGCATATCACCTTACAGGAGATCATCAAAGATTGAAACAGC  
 61 -----+-----+-----+-----+-----+-----+-----+-----+  
 AACAGGTGCCTGTGTTCACGCTATACTGGAATGTCCTCTAGTAGTTCTAAACTTGTGCG  
  
 a: PheValHisGlyHisLysCysAspIleThrLeuGlnGluIleIleLysAspLeuAsnSer  
  
 CTCACAGAGCAGAAGACTCTGTGCACCGAGTTGACCGTAACAGACATCTTGCTGCCCTCC  
 121 -----+-----+-----+-----+-----+-----+-----+-----+  
 GAGTGTCTCGTCTCTGAGACACGTGGCTCAACTGGCATTGTCTGTAGAAACGACGGAGG  
  
 a: LeuThrGluGlnLysThrLeuCysThrGluLeuThrValThrAspIlePheAlaAlaSer  
  
 AAGAACACAACGTGAGAAGGAAACCTCTGCAGGGCTGCGACTGTGCTCCGGCAGTTCTAC  
 181 -----+-----+-----+-----+-----+-----+-----+-----+  
 TTCTTGTGTTGACTCTCCTTGGAAAGACGTCCCGACGCTGACACGAGGCCGTCAAGATG  
  
 a: LysAsnThrThrGluLysGluThrPheCysArgAlaAlaThrValLeuArgGlnPheTyr  
  
 AGCCACCATGAGAAGGACACTCGCTGCCCTGGGTGCGACTGCAACAGCAGTTCCACAGGCAC  
 241 -----+-----+-----+-----+-----+-----+-----+-----+  
 TCGGTGGTACTCTCCTGTGAGCGACGGACCCACGCTGACGTGTCGTCAAGGTGTCCGTG  
  
 a: SerHisHisGluLysAspThrArgCysLeuGlyAlaThrAlaGlnGlnPheHisArgHis  
  
 AAGCAGCTGATCCGATTCTGAAACGGCTCGACAGGAACCTCTGGGGCTGGCGGGCTTG  
 301 -----+-----+-----+-----+-----+-----+-----+-----+  
 TTCGTCGACTAGGCTAAGGACTTTGCCGAGCTGTCCTGGAGACCCGGACCGCCCGAAC  
  
 a: LysGlnLeuIleArgPheLeuLysArgLeuAspArgAsnLeuTrpGlyLeuAlaGlyLeu  
  
 AATTCTGTCCTGTGAAGGAAGCCAACCAGAGTACGTTGGAAAACCTCTGGAAAGGCTA  
 361 -----+-----+-----+-----+-----+-----+-----+-----+  
 TTAAGGACAGGACACTCCTCGGTTGGTCTCATGCAACCTTTGAAGAACCTTCCGAT  
  
 a: AsnSerCysProValLysGluAlaAsnGlnSerThrLeuGluAsnPheLeuGluArgLeu  
  
 AAGACGATCATGAGAGAGAAATATTCAAAGTGTGAGCTAG  
 421 -----+-----+-----+-----+-----+-----+-----+  
 TTCTGCTAGTACTCTCTTATAAGTTCACAGCTCGATC  
  
 a: LysThrIleMetArgGluLysTyrSerLysCysSerSerEnd

## FIGURE 5

T13D-IL4 [R121D/Y124D] (SEQ ID NO: 9)

## **FIGURE 6**

## INTERNATIONAL SEARCH REPORT

Inter. Application No  
PCT/US 97/11909

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 C12N15/24 C07K14/54 C07K14/715 A61K38/20 G01N33/534

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C12N C07K A61K G01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	KRUSE N ET AL: "TWO DISTINCT FUNCTIONAL SITES OF HUMAN INTERLEUKIN 4 ARE IDENTIFIED BY VARIANTS IMPAIRED IN EITHER RECEPTOR BINDING OR RECEPTOR ACTIVATION" EMBO JOURNAL, vol. 12, no. 13, 15 December 1993, pages 5121-5129, XP000565734 cited in the application see the whole document	1,4,5,7, 8
Y	WO 93 21308 A (UNIV HOSPITAL) 28 October 1993 cited in the application see page 21, line 2 - line 10	11
Y	WO 88 04667 A (IMMUNEX CORP) 30 June 1988 see page 1 - page 3; figure 1	2,11
X	---	9,10
	-/-	

 Further documents are listed in the continuation of box C. Patent family members are listed in annex.

## \* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

3

Date of the actual completion of the international search

11 November 1997

Date of mailing of the international search report

11-03- 1998

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
 NL - 2280 HV Rijswijk  
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
 Fax: (+31-70) 340-3016

Authorized officer

Smalt, R

## INTERNATIONAL SEARCH REPORT

Intern Application No  
PCT/US 97/11909

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	DUSCHL, A. ET AL.: "Antagonistic mutant proteins of interleukin-4" BEHRING INSTITUTE MITTEILUNGEN, no. 96, 1995, pages 887-94, XP002045623 see the whole document	1
Y		2
A		17-24
A	---	
A	WO 93 10235 A (SEBALD WALTER) 27 May 1993 see page 5, paragraph 6 - page 10	17-24
A	---	
A	TONY, H. ET AL.: "Design of human interleukin-4 antagonists in inhibiting interleukin-4-dependent and interleukin-13-dependent responses in T-cells and B-cells with high efficiency" EUROPEAN JOURNAL OF BIOCHEMISTRY, vol. 225, 1994, pages 659-664, XP002046141 cited in the application see the whole document	17-24
	-----	

**INTERNATIONAL SEARCH REPORT****Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)**

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
  
3.  Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:

See annex

1.  As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
  
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
  
3.  As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

1-11, 17-27

**Remark on Protest**

- The additional search fees were accompanied by the applicant's protest.
- No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

International Application No. PCT/ US 97/11909

## FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

### 1. Claims: 1-11,17-27

Recombinant human IL-4 mutein, comprising at least one amino acid substitution in the binding surfaces of either the A- or C-helices, and possibly in addition the substitutions R121D and Y124D in the D-helix, which bind to the IL-4(alpha) with greater affinity than native IL-4, a pharmaceutical composition comprising said mutein in combination with a pharmaceutically acceptable carrier, a nucleic acid sequence encoding said mutein, a host cell transformed with said nucleic acid sequence, and a host cell capable of expressing said mutein.

### 2. Claims: 12-16

Assay for determining the ability of a mutein to bind to a receptor, in particular IL-4(alpha), comprising the steps of binding a receptor chain to a Flashplate(R) through a streptavidin mediated mechanism, introducing into said plate a radiolabelled native ligand and a mutein ligand, measuring the amount of signal given off by said plate after equilibration, and calculating the relative affinity of the mutein ligand relative to the native ligand.

Remark : Although claims 11 and 27 are directed to a method of treatment of the human/animal body , the search has been carried out and based on the alleged effects of the compound/composition.

## INTERNATIONAL SEARCH REPORT

Information on patent family members

Int'l. Application No  
PCT/US 97/11909

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
WO 9321308 A	28-10-93	AU 4289193 A		18-11-93
-----				
WO 8804667 A	30-06-88	AU 620537 B		20-02-92
		AU 1055988 A		15-07-88
		EP 0335900 A		11-10-89
		JP 2501827 T		21-06-90
-----				
WO 9310235 A	27-05-93	DE 4137333 A		19-05-93
		AT 163196 T		15-02-98
		AU 671960 B		19-09-96
		AU 2928292 A		15-06-93
		CA 2123315 A		27-05-93
		CZ 9401185 A		15-12-94
		EP 0613499 A		07-09-94
		HU 66826 A		30-01-95
		JP 7501522 T		16-02-95
		NO 941681 A		06-05-94
		SK 55494 A		07-12-94
-----				